

Lecture 1

Voting Rules

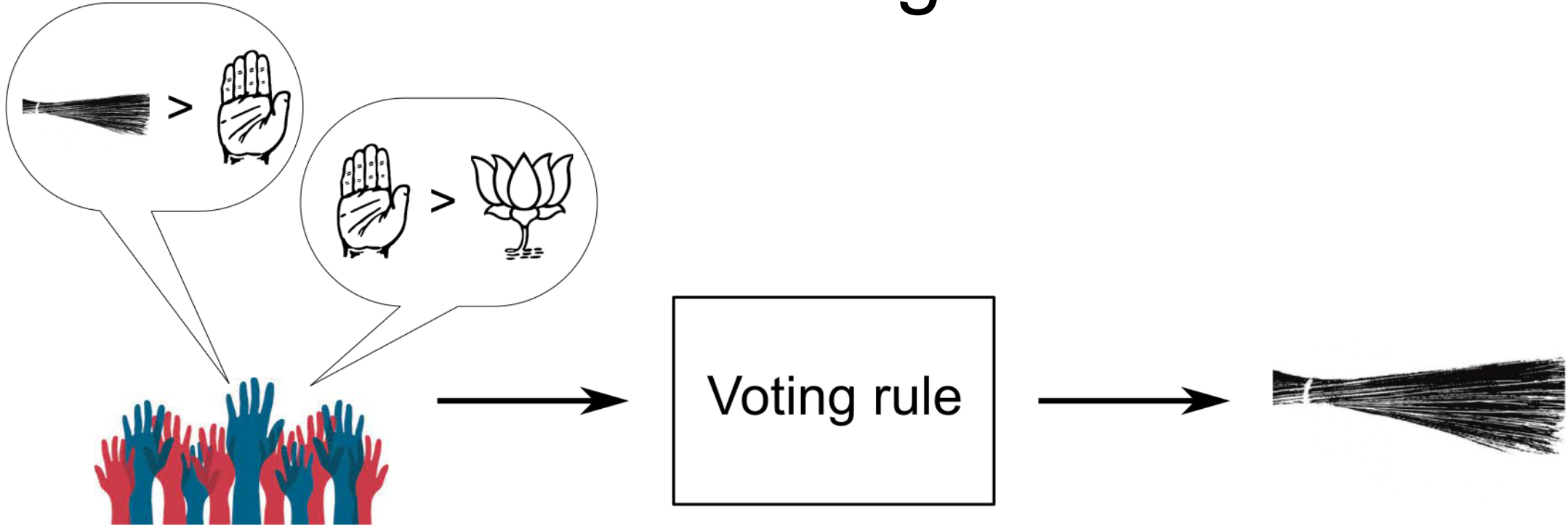
Reminder about starting recording

What is Computational Social Choice?

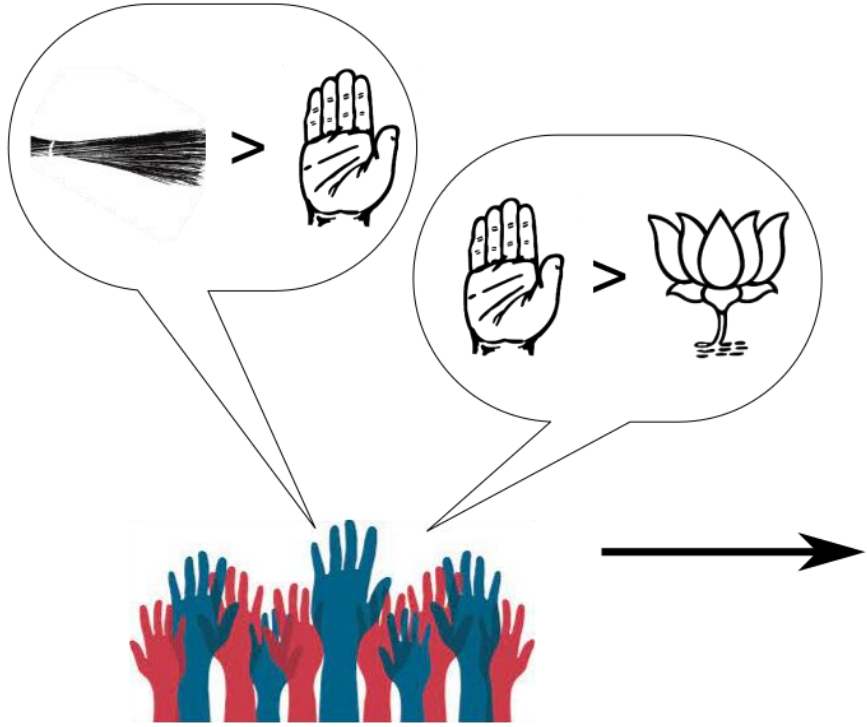
What is Computational Social Choice?

Understanding the role of **computation**
in **collective decision-making** problems

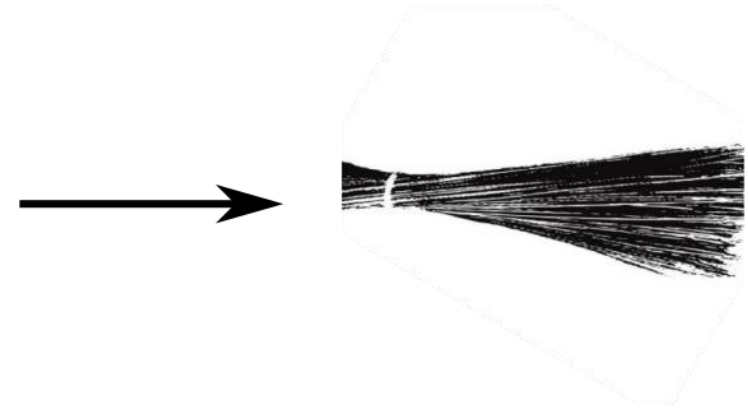
Voting



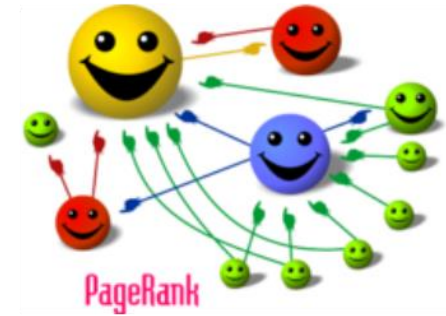
Voting



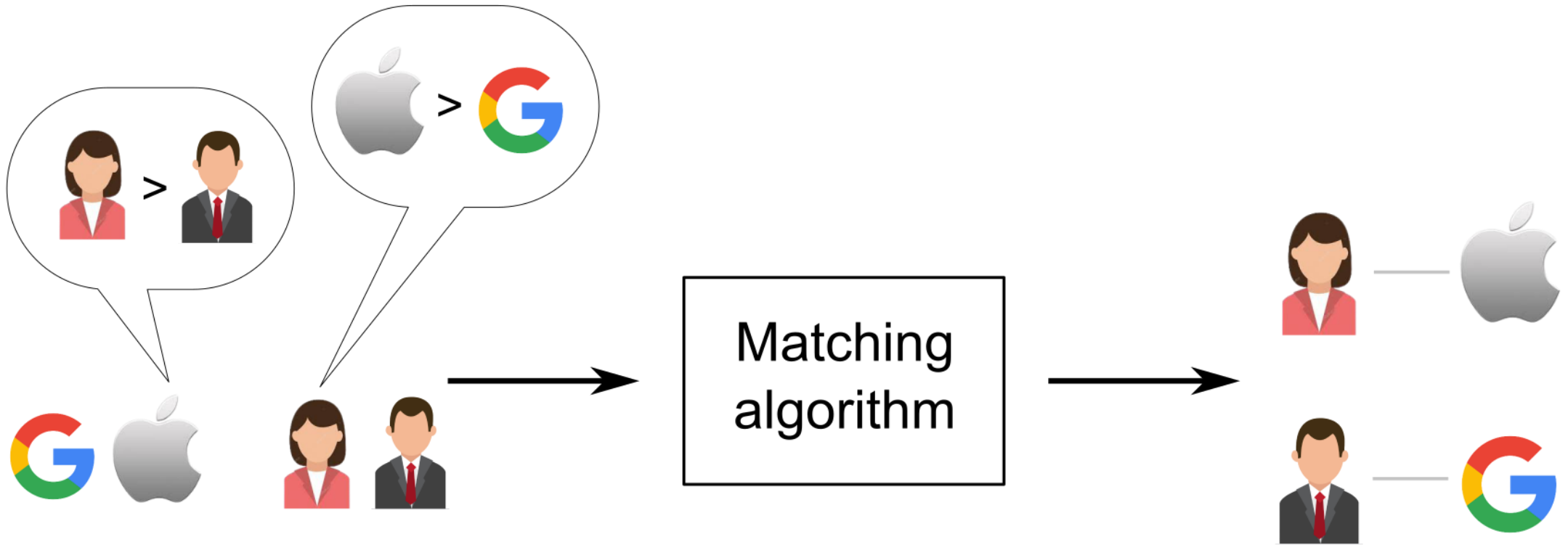
Voting rule



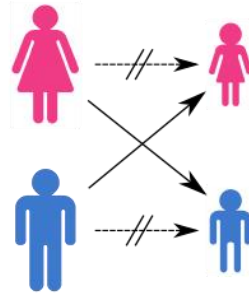
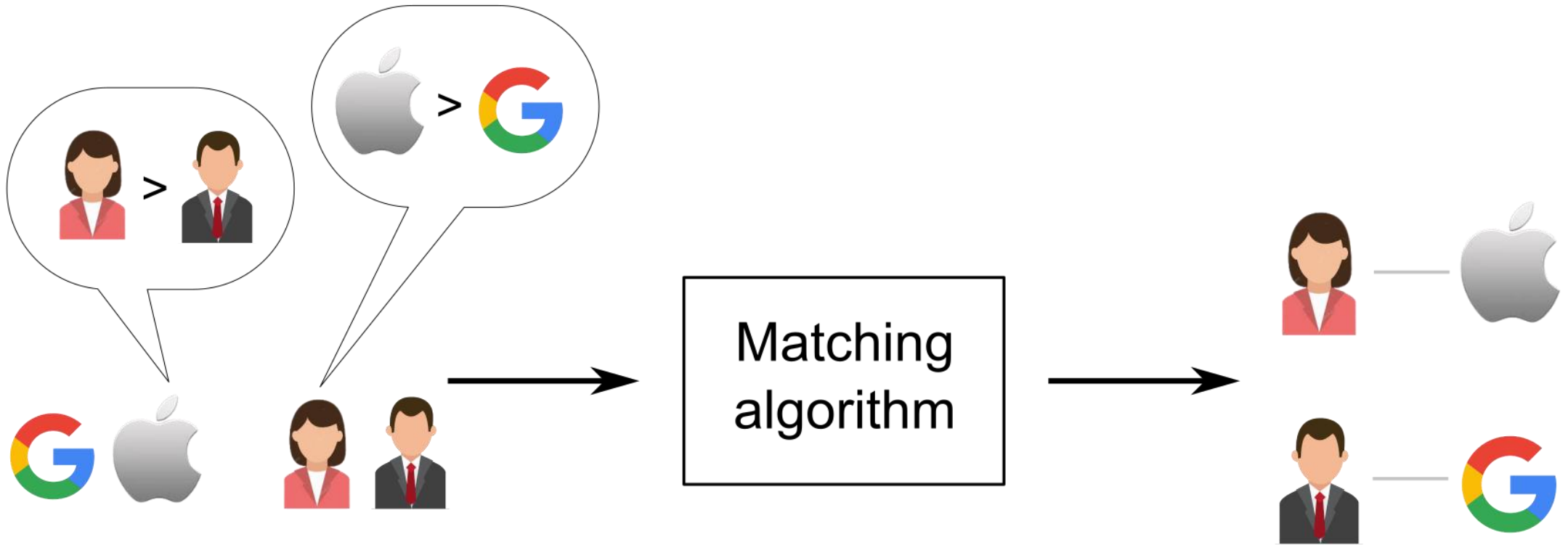
amazon



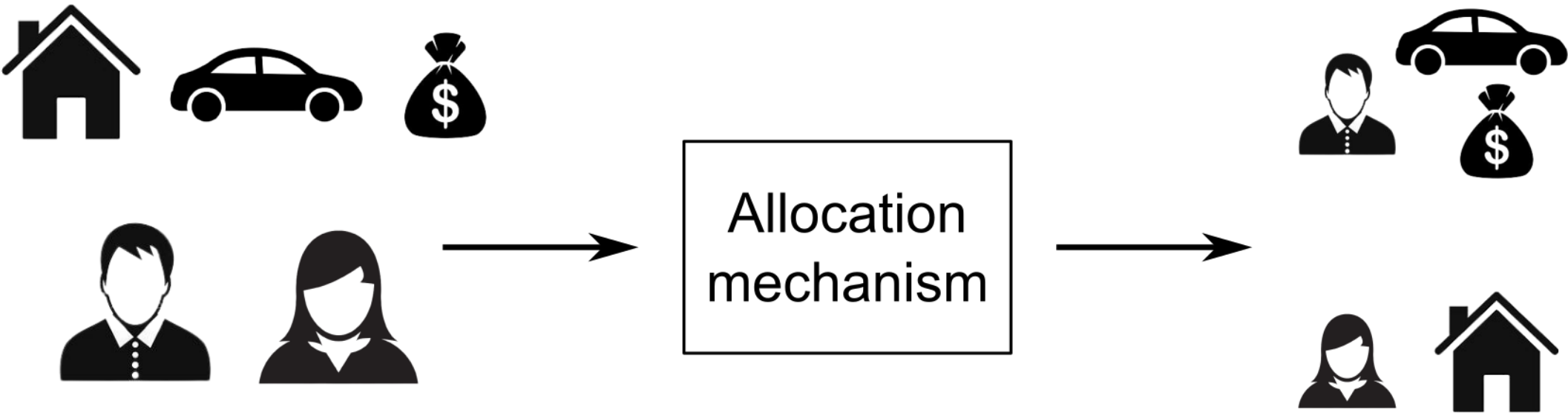
Matching



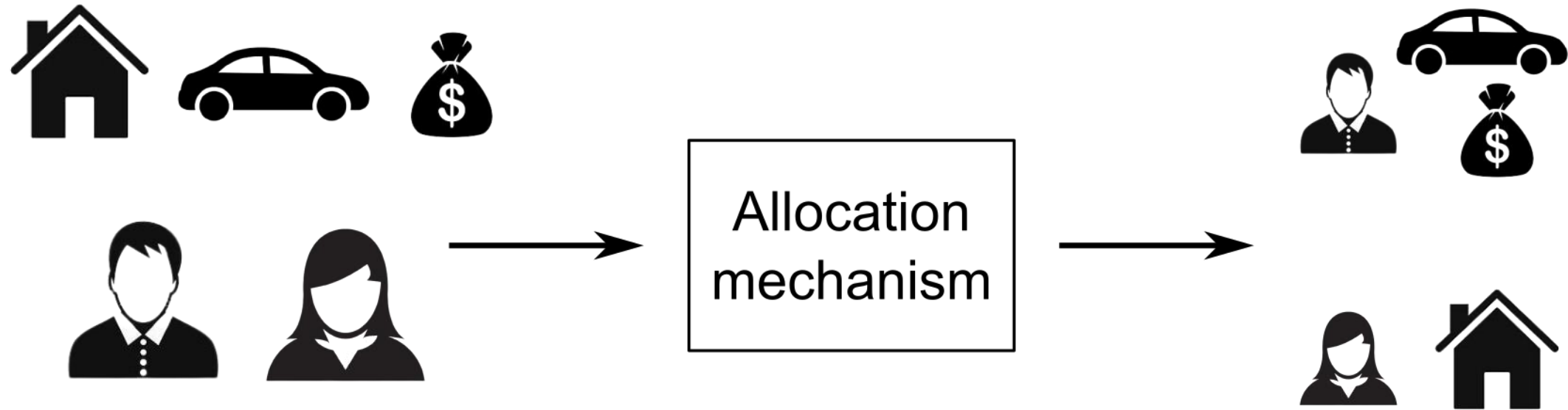
Matching



Fair Division



Fair Division

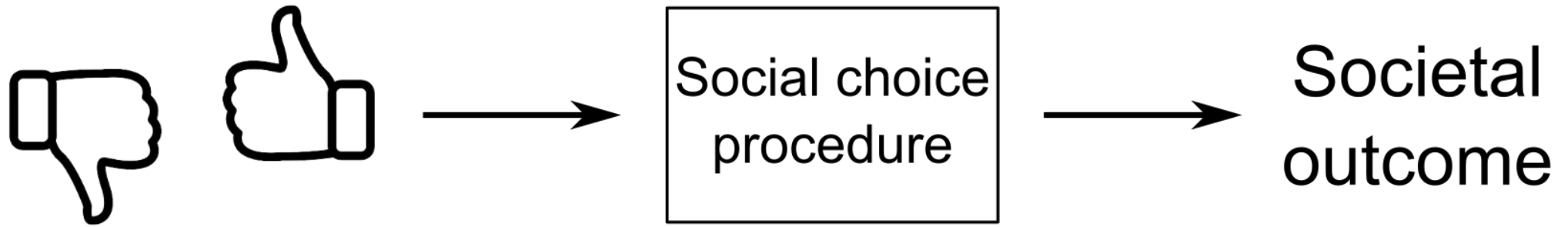


Social Choice

Making a **collective** decision from **individual** preferences

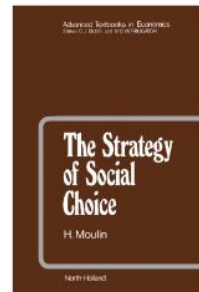
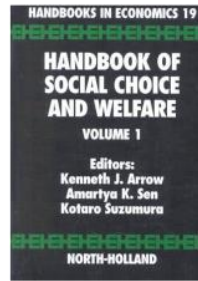
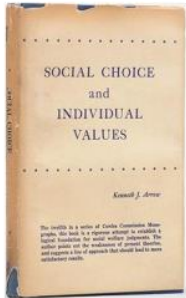
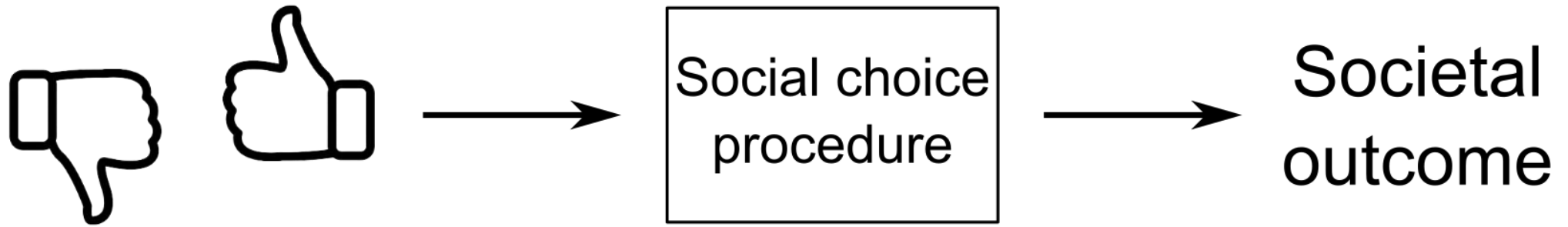
Social Choice

Making a **collective** decision from **individual** preferences



Social Choice

Making a **collective** decision from **individual** preferences



Arrow



Sen



Maskin



Roth



Shapley

Classical Social Choice

Does there exist a social choice procedure
with the desired economic properties?

Classical Social Choice

Does there exist a social choice procedure with the desired economic properties?



Does there exist a "truthful" voting rule?

Classical Social Choice

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Does there exist a "truthful" voting rule?

Is there a matching procedure that is "stable"?



Classical Social Choice

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Does there exist a "truthful" voting rule?

Is there a matching procedure that is "stable"?



Is there an allocation procedure that is "fair" and "economically efficient"?

Classical Social Choice

Does there **exist** a social choice procedure with the desired economic properties?



Does there **exist** a "truthful" voting rule?

Is there a matching procedure that is "stable"?



Is there an allocation procedure that is "fair" and "economically efficient"?

Classical Social Choice

Does there **exist** a social choice procedure with the desired economic properties?

Computational Social Choice

(**This course**)

How does **computation** influence the economic properties of social choice procedures?





[Lec 1]

Voting rules

[Lec 2]

Truthful elections are
impossible

[Lec 3+4]

...but computation
saves the day!





[Lec 1]

Voting rules

[Lec 2]

Truthful elections are
impossible

[Lec 3+4]

...but computation
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[Lec 5]

Matching organ donors
with patients

[Lec 6+7]

Stable matchings and
incentives

[Lec 8+9]

Finding "fair" and
"democratic" matchings





[Lec 1]

Voting rules

[Lec 2]

Truthful elections are impossible

[Lec 3+4]

...but computation saves the day!



[Lec 5]

Matching organ donors with patients

[Lec 6+7]

Stable matchings and incentives

[Lec 8+9]

Finding "fair" and "democratic" matchings



[Lec 10+11]

Cake-cutting and rent division

[Lec 12+13]

Dividing the indivisible

[Lec 14]

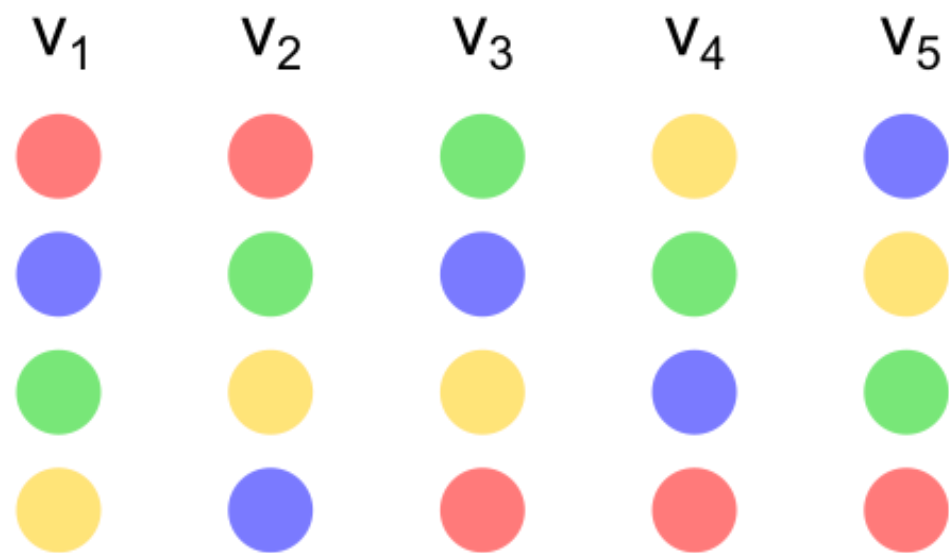
Fair allocation of seats in a parliament

Why is Voting Important

Mon 10 Jan	Tue 11 Jan	Wed 12 Jan	Thu 13 Jan	Fri 14 Jan	Sat 15 Jan
8:00 am 14	8:00 am 13	8:00 am 12	8:00 am 13	8:00 am 13	8:00 am 11
9:00 am 12	9:00 am 13	9:00 am 12	9:00 am 11	9:00 am 13	9:00 am 9
10:00 am 9	10:00 am 14	10:00 am 13	10:00 am 8	10:00 am 14	10:00 am 7
11:00 am 10	11:00 am 9	11:00 am 11	11:00 am 7	11:00 am 9	11:00 am 6
12:00 pm 10	12:00 pm 9	12:00 pm 9	12:00 pm 9	12:00 pm 9	12:00 pm 6
1:00 pm 11	1:00 pm 9	1:00 pm 11	1:00 pm 11	1:00 pm 9	1:00 pm 8
2:00 pm 9	2:00 pm 6	2:00 pm 5	2:00 pm 9	2:00 pm 7	2:00 pm 2
3:00 pm 9	3:00 pm 5	3:00 pm 5	3:00 pm 9	3:00 pm 6	3:00 pm 2
4:00 pm 8	4:00 pm 5	4:00 pm 6	4:00 pm 7	4:00 pm 7	4:00 pm 2
5:00 pm 11	5:00 pm 7	5:00 pm 6	5:00 pm 11	5:00 pm 9	5:00 pm 3

Voting Setup

Voting Setup



Voting Setup

Voters

V_1

V_2

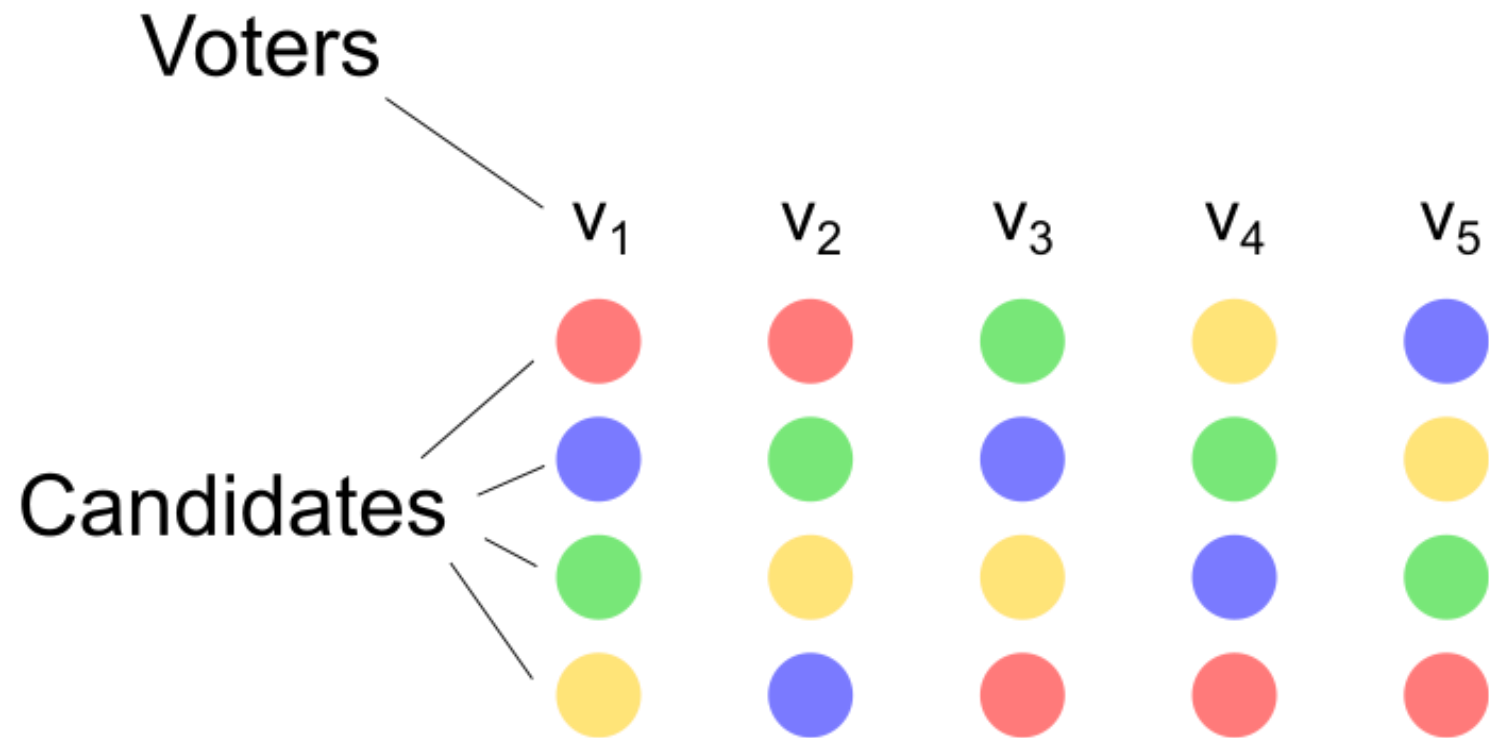
V_3

V_4

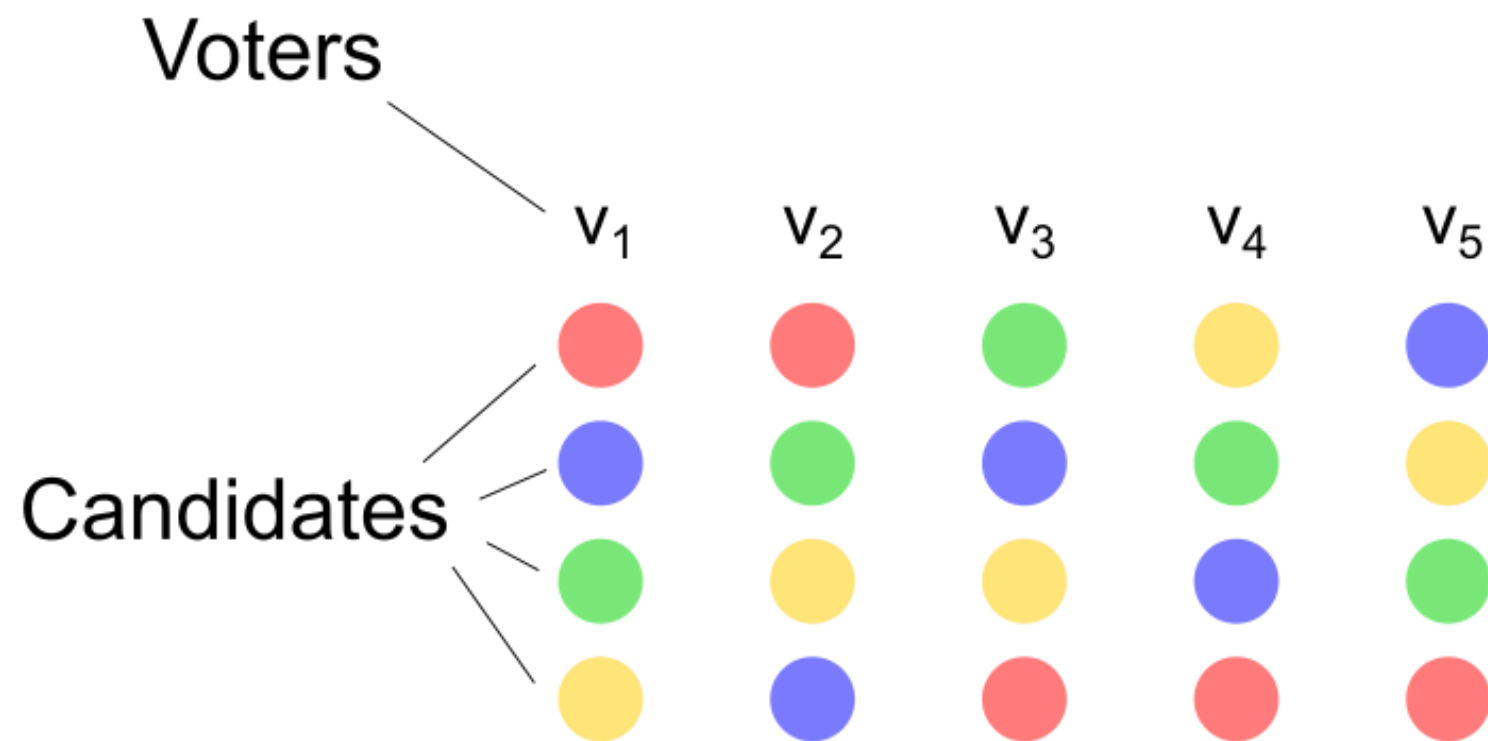
V_5



Voting Setup

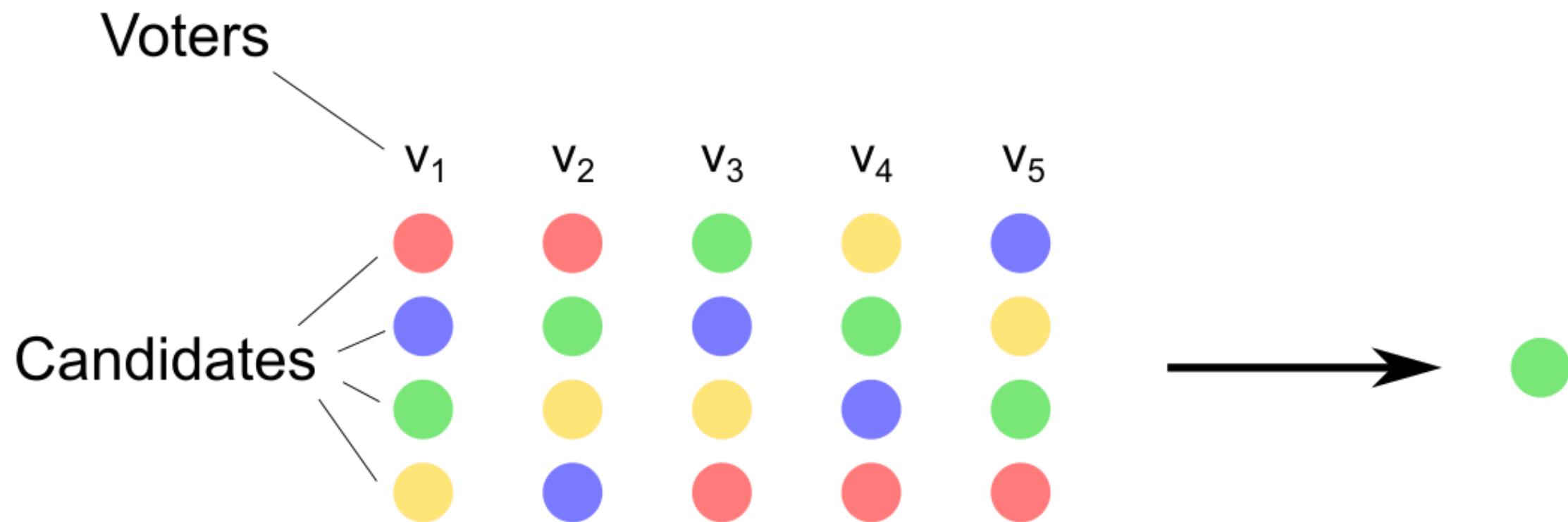


Voting Setup



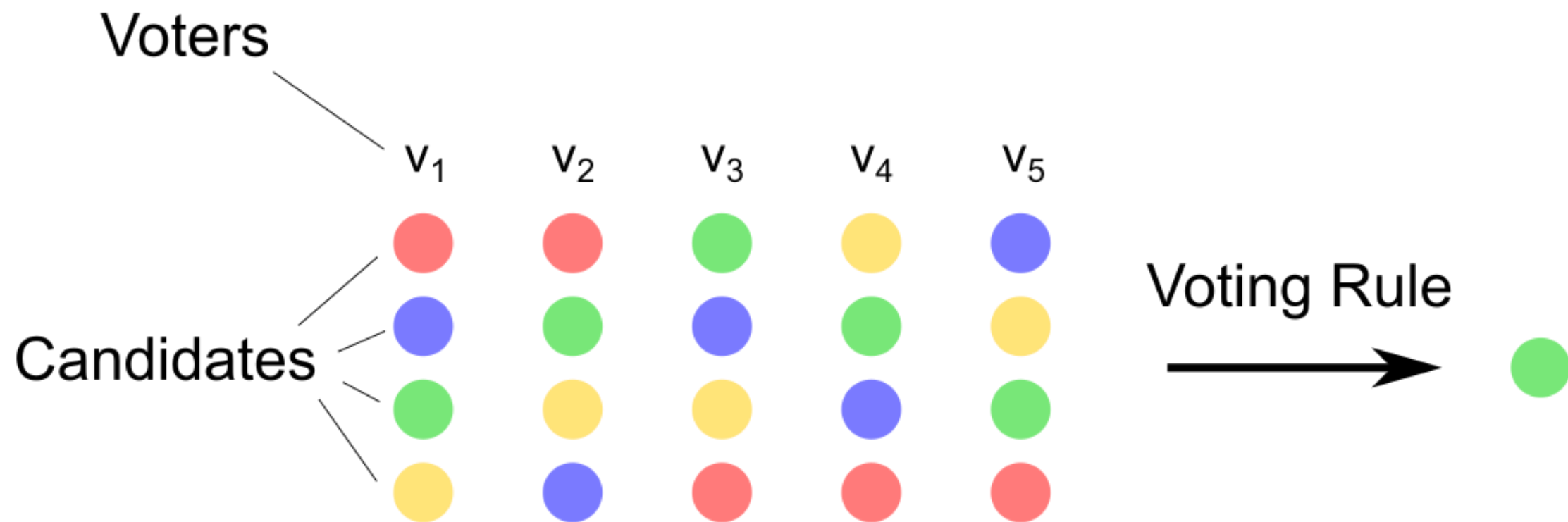
Goal: Pick exactly one winning candidate.

Voting Setup



Goal: Pick exactly one winning candidate.

Voting Setup



Goal: Pick exactly one winning candidate.

Voting with Two Candidates

Voting with Two Candidates

Majority!

Voting with More Than Two Candidates

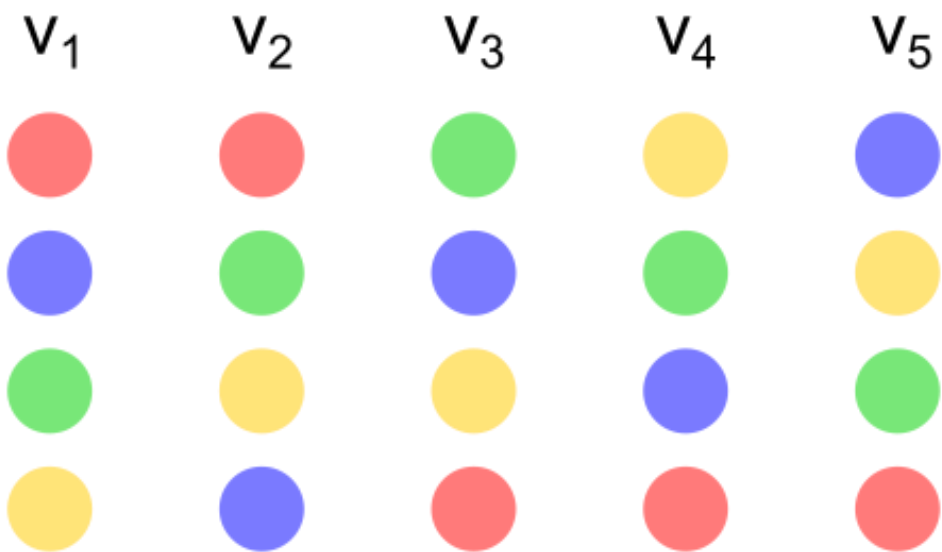
No candidate may have a majority...

1

Plurality

1

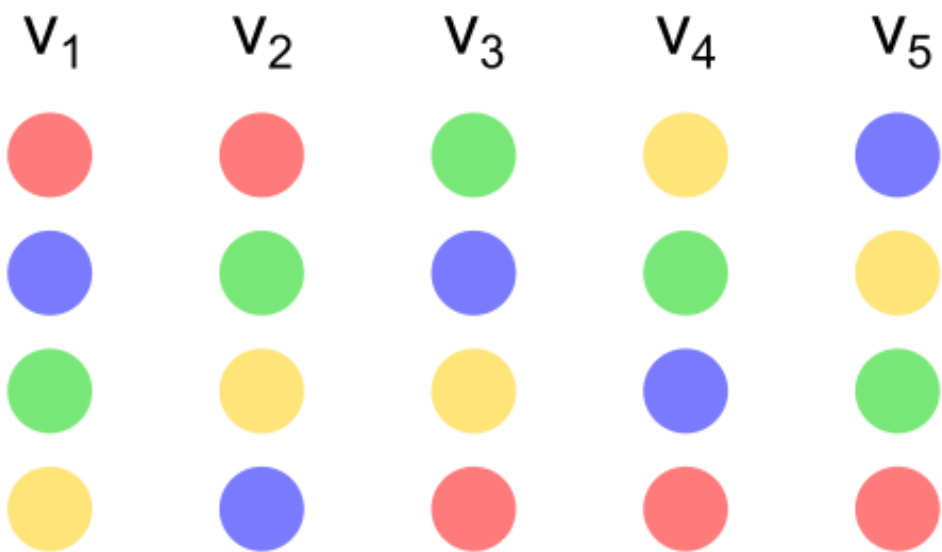
Plurality



1

Candidate with the most first-place votes wins

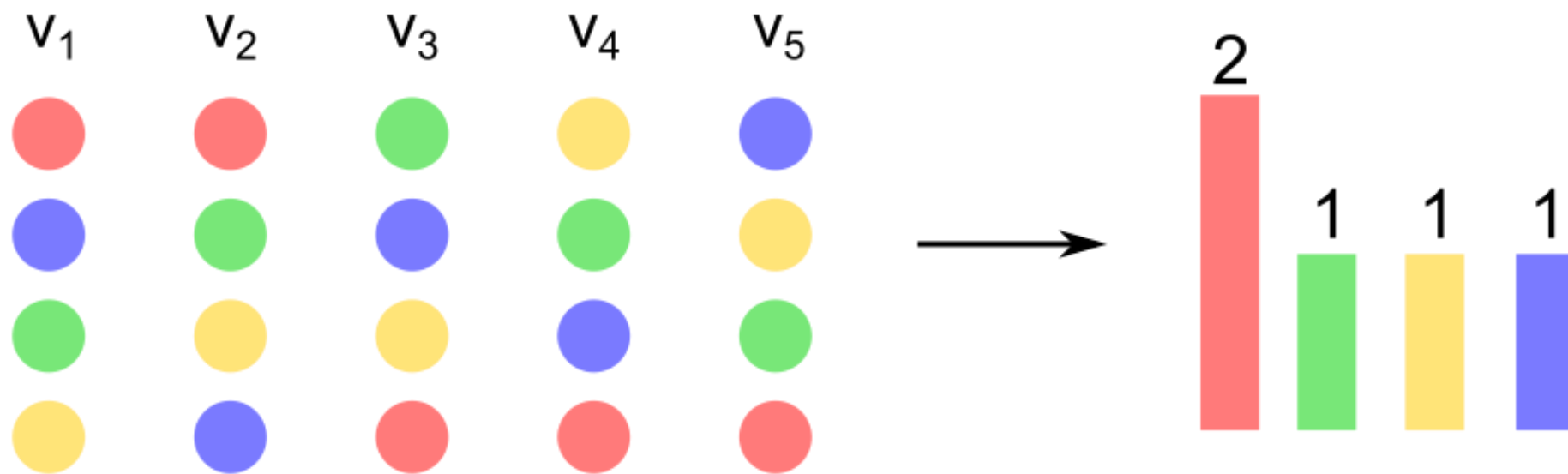
Plurality



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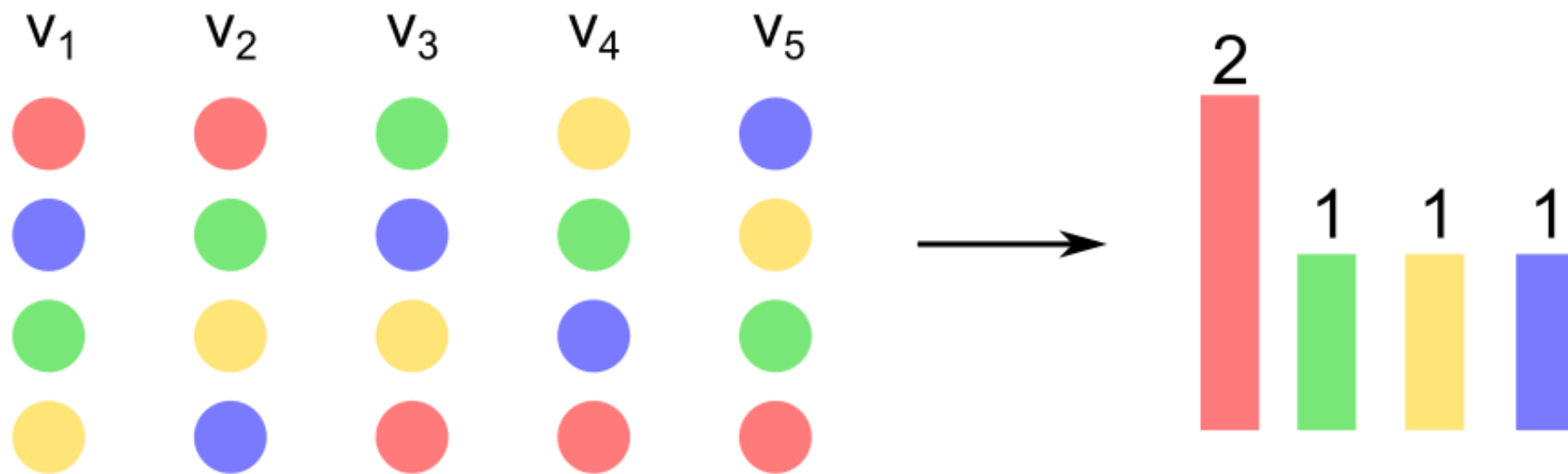
Plurality



1

Candidate with the most first-place votes wins

Plurality



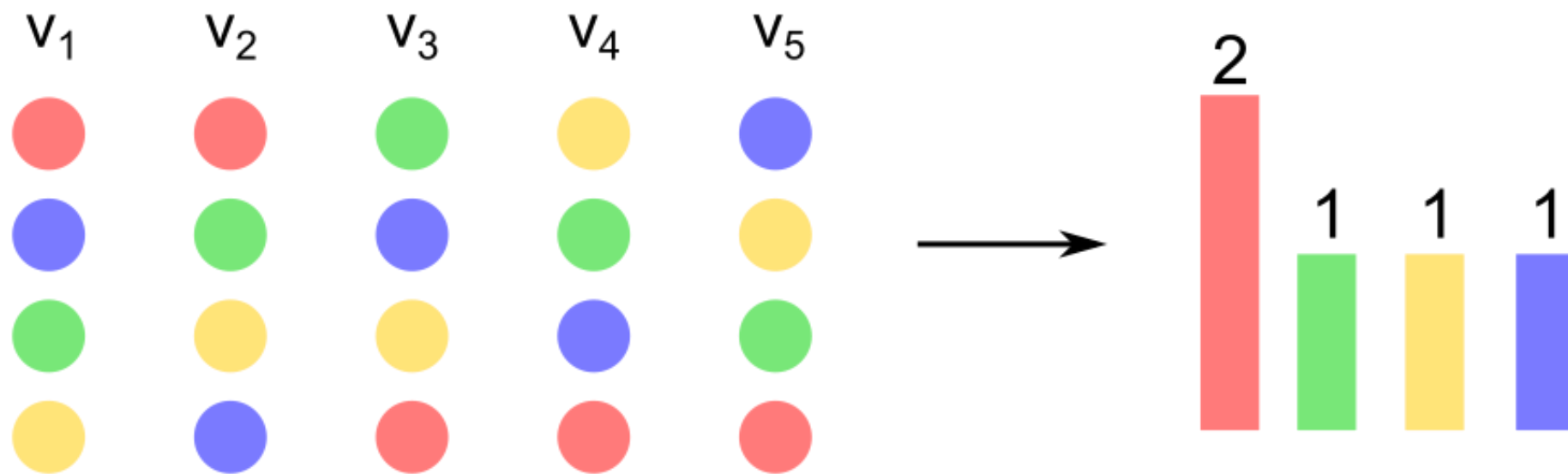
Plurality winner: ●

1

Plurality

Candidate with the most first-place votes wins*

*subject to tie-breaking: lexicographic, random, ...

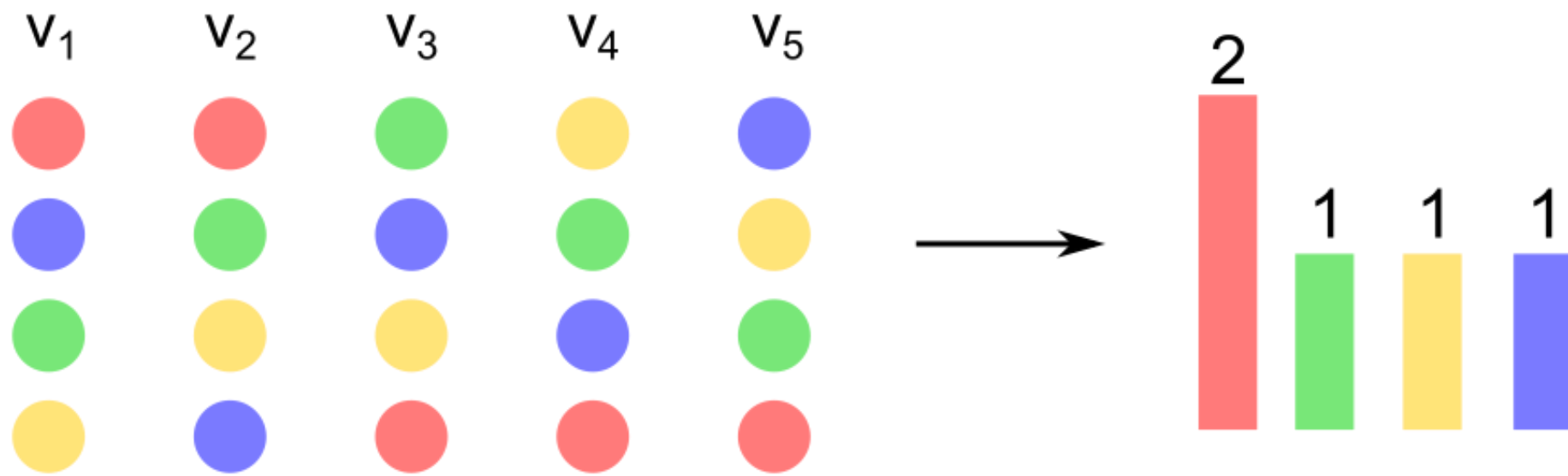


Plurality winner: ●

1

Problem: A majority prefers ● over the Plurality winner.

Plurality

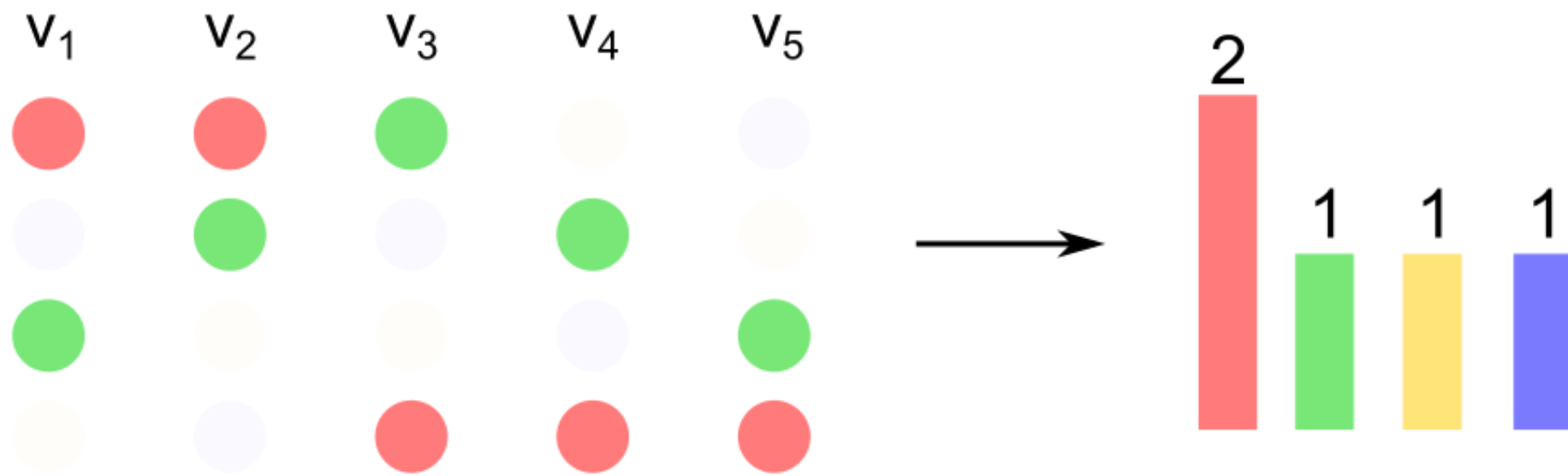


Plurality winner: ●

1

Problem: A majority prefers ● over the Plurality winner.

Plurality



Plurality winner: ●

1

Plurality

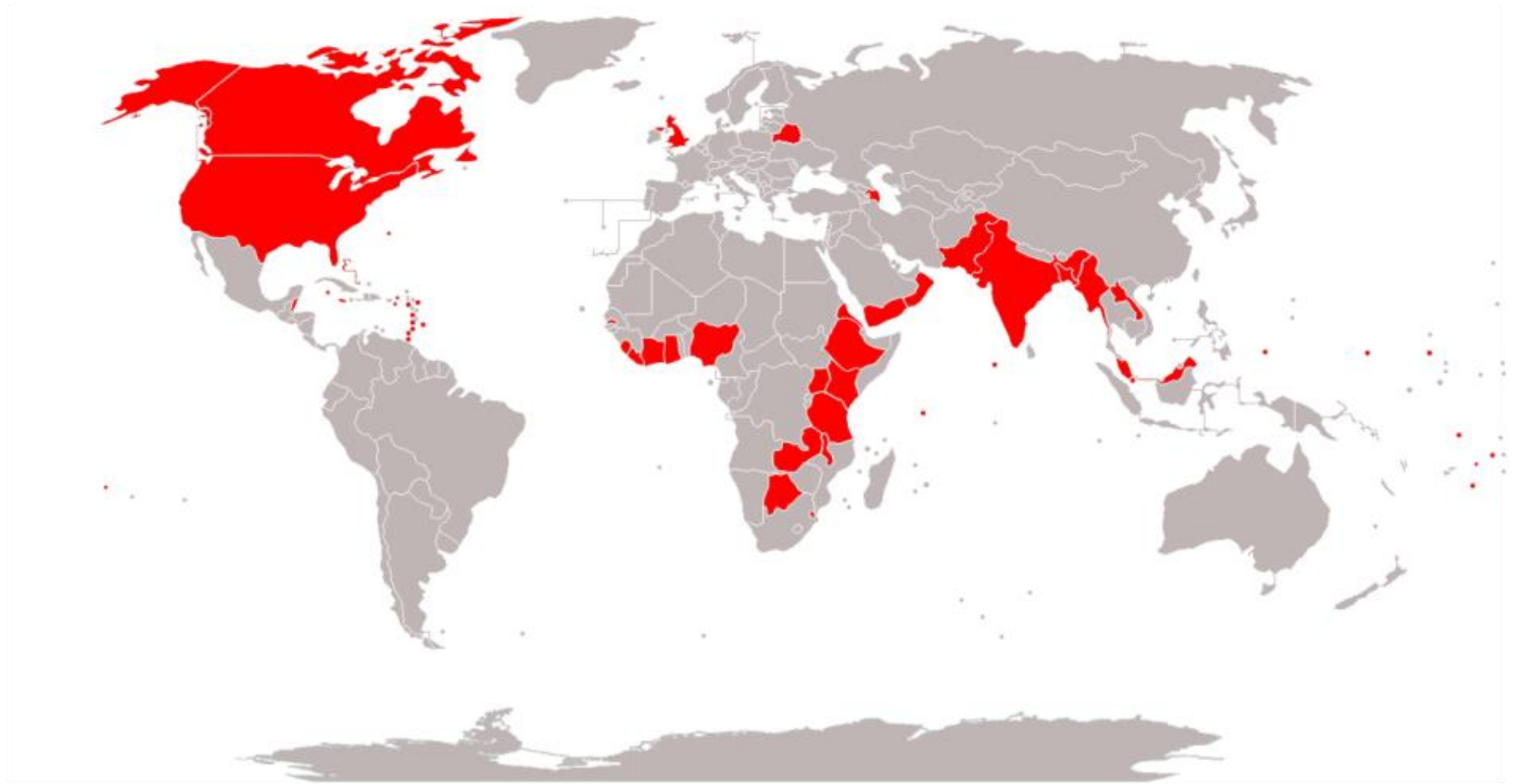


Image Source: Wikipedia article on "Electoral system" (Jan 2022)

2

Borda
Count

2

Borda
Count



Jean-Charles de Borda
(1733-1799)

2

Borda Count



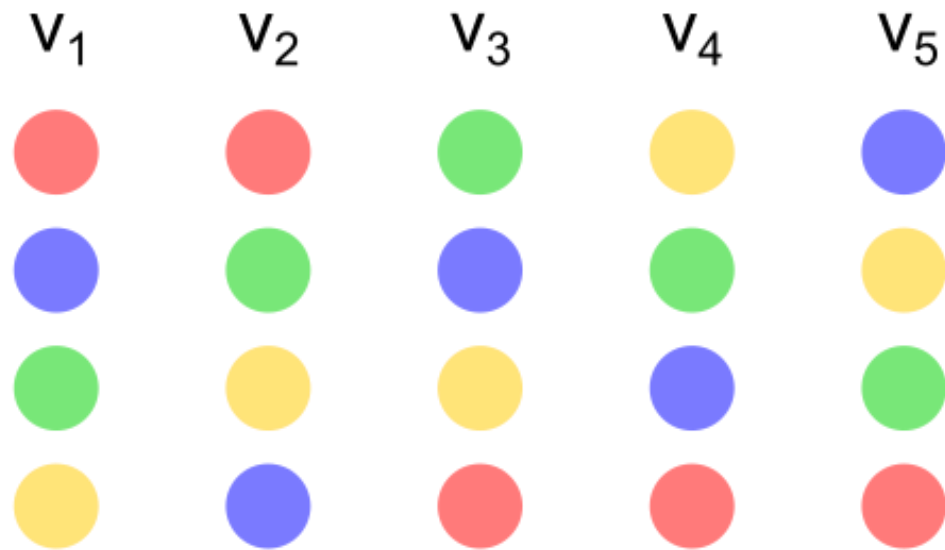
Jean-Charles de Borda
(1733-1799)



2

Each voter gives its k^{th} ranked candidate $m-k$ points, where m is the number of candidates.

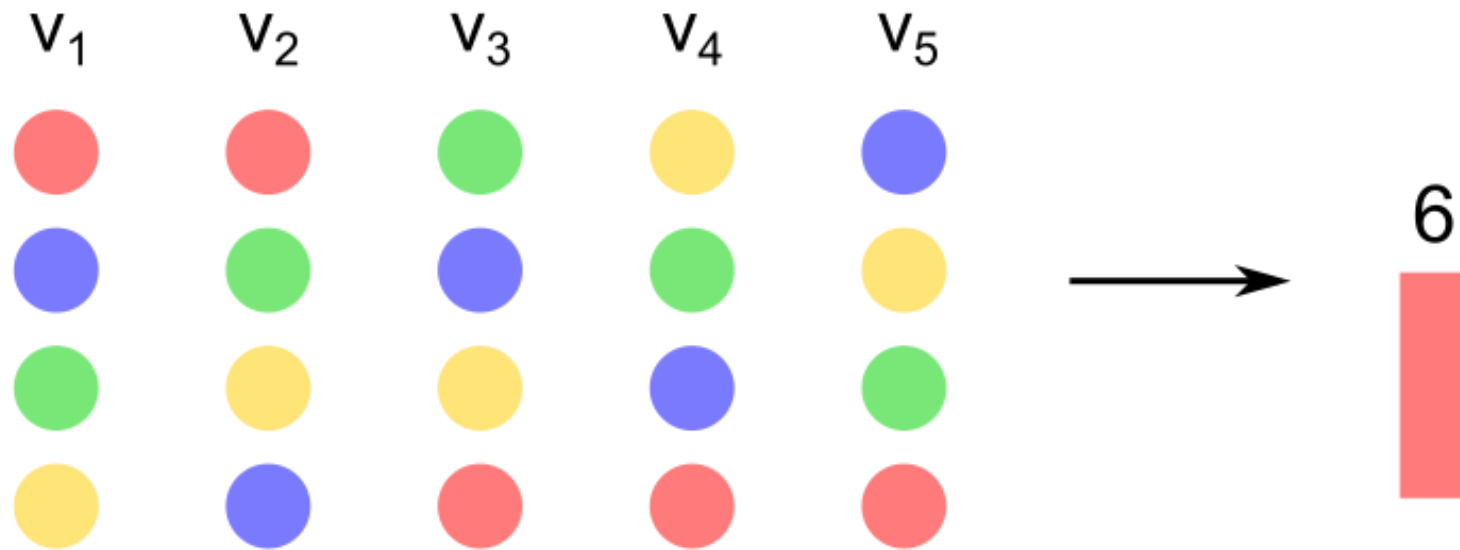
Borda
Count



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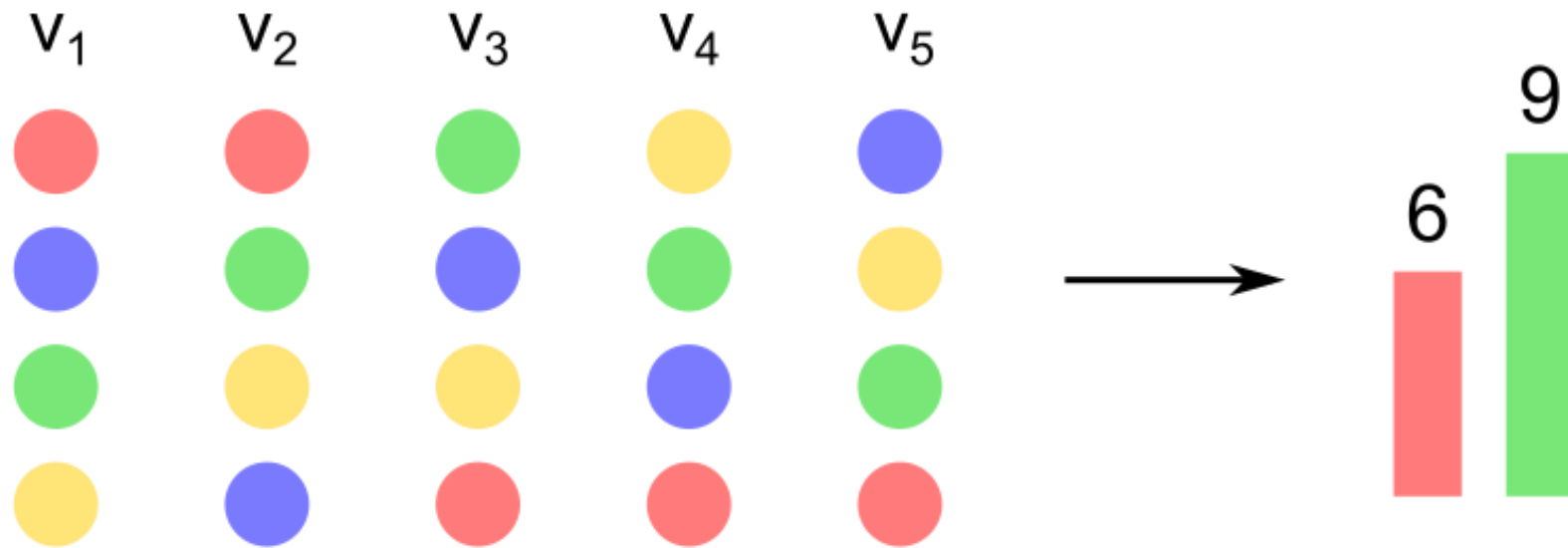
Borda
Count



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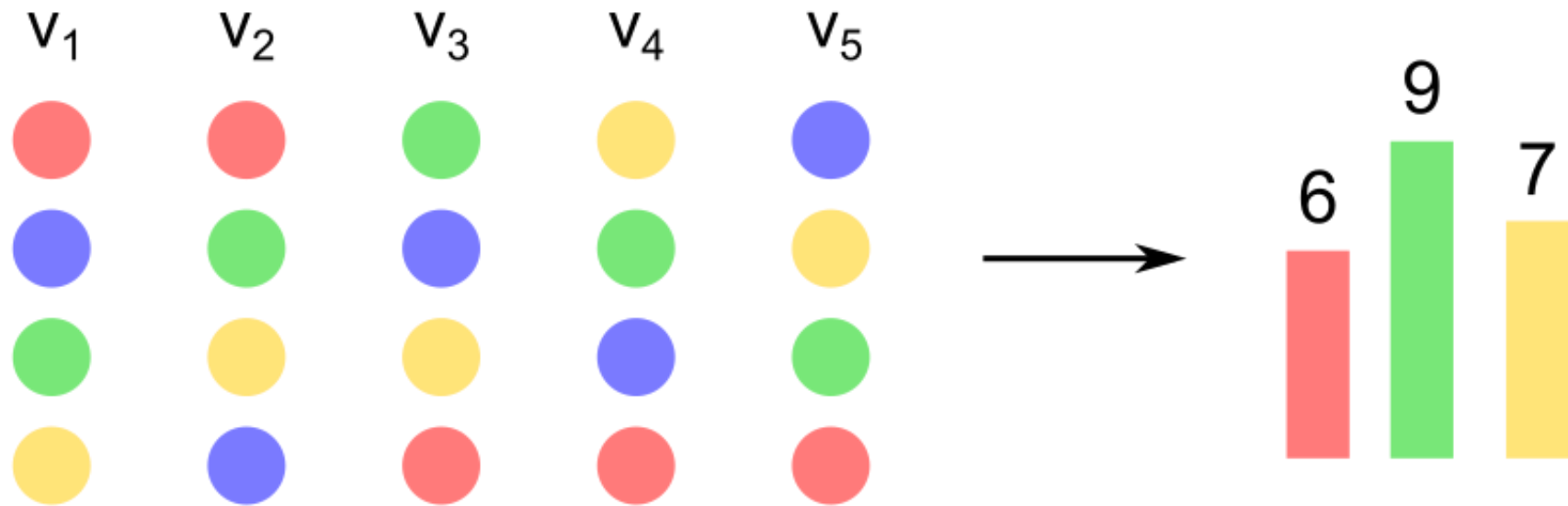
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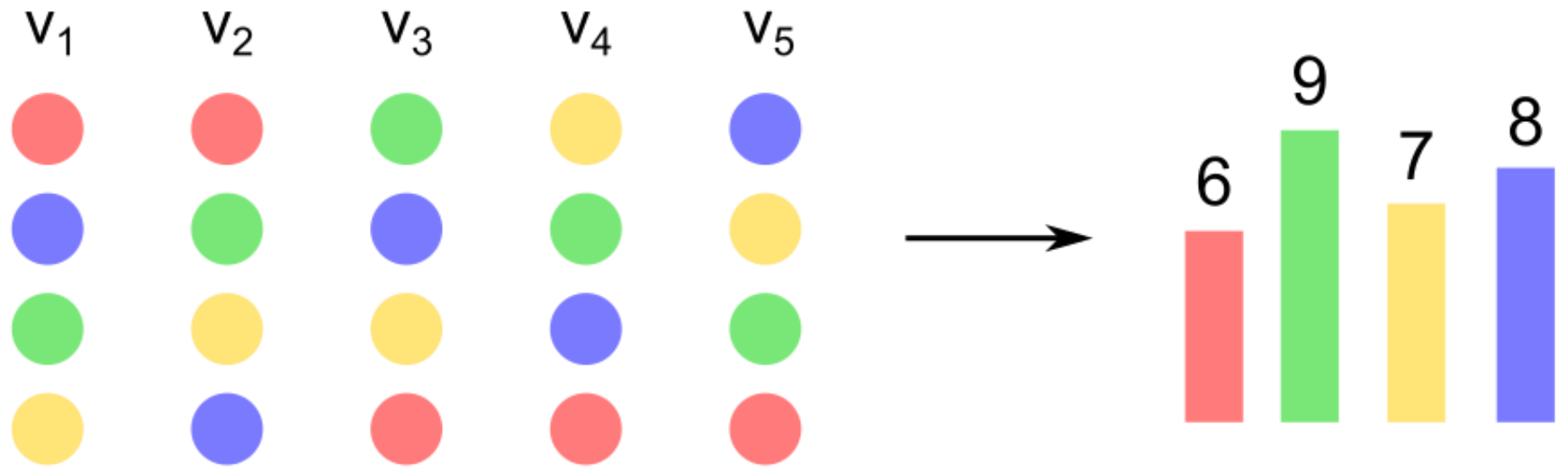
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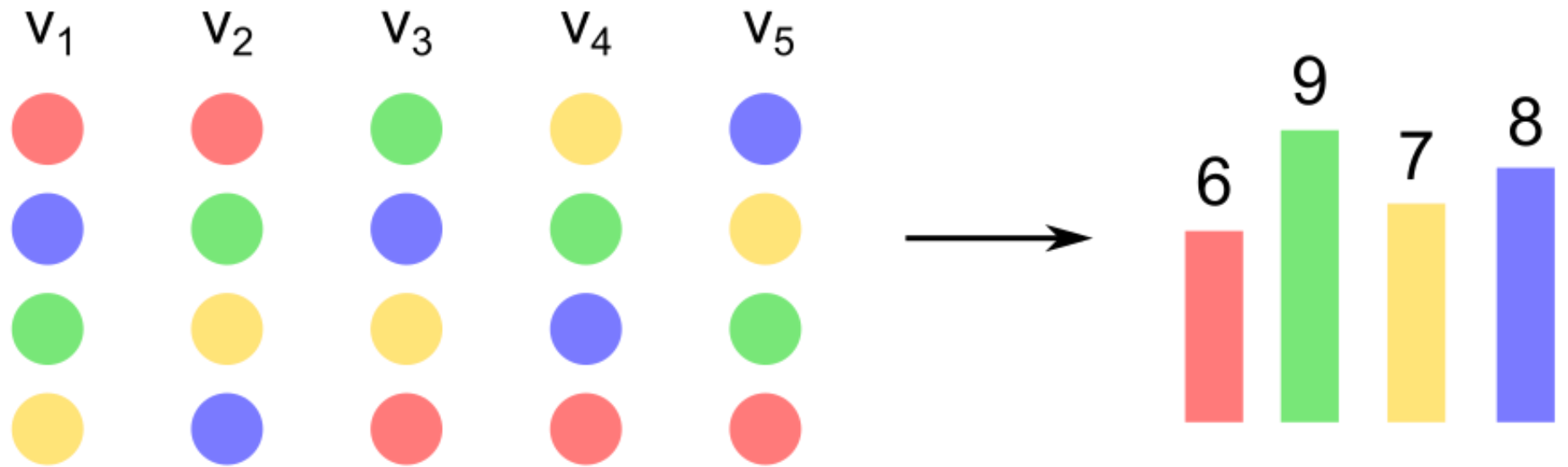
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Borda
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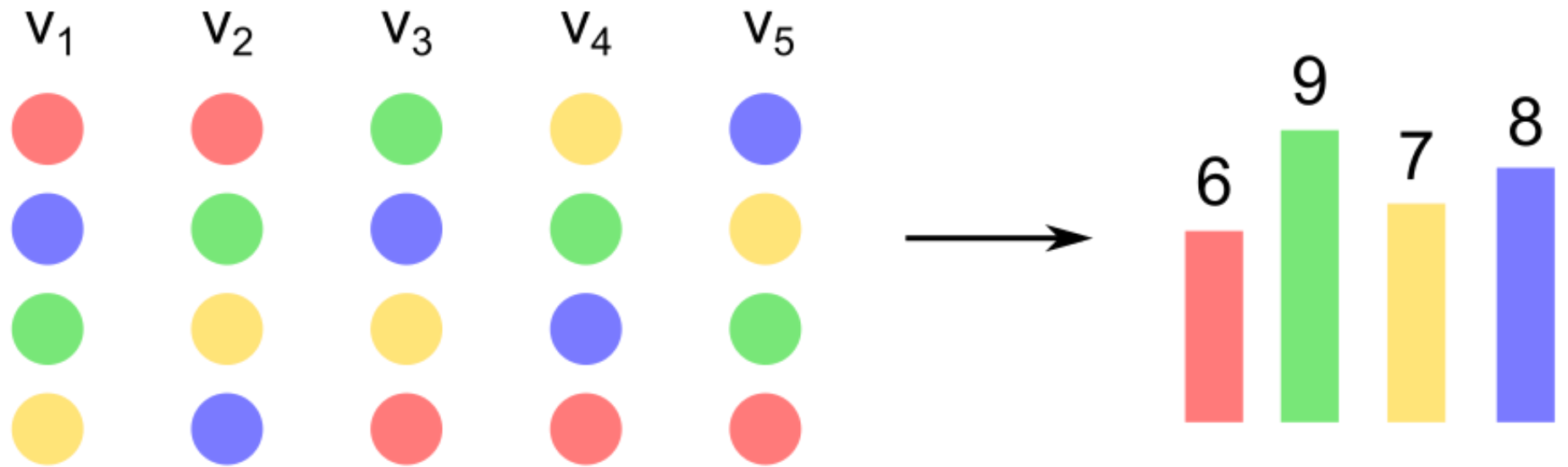


Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

Borda
Count

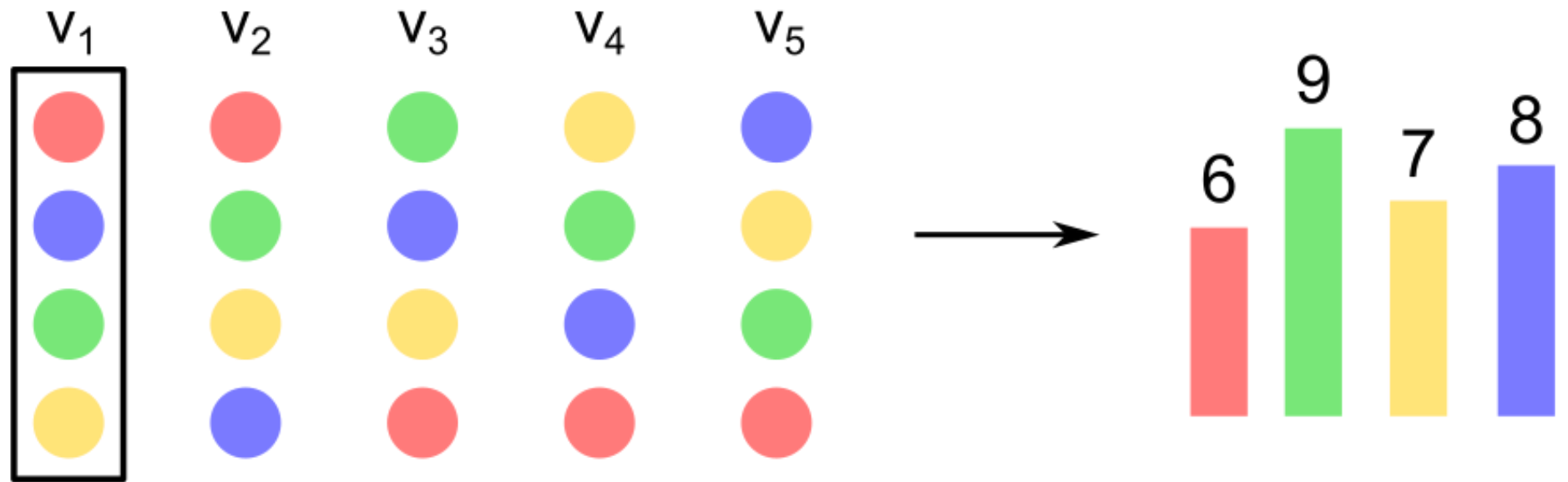


Borda winner: ●

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Borda
Count

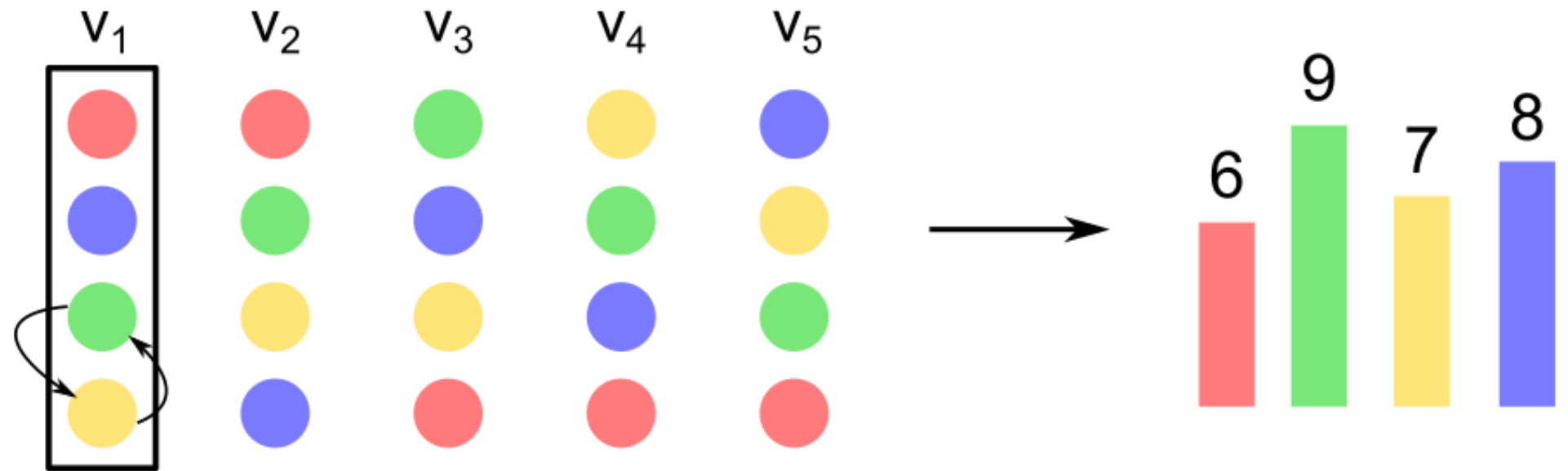


Borda winner: ●

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Borda
Count

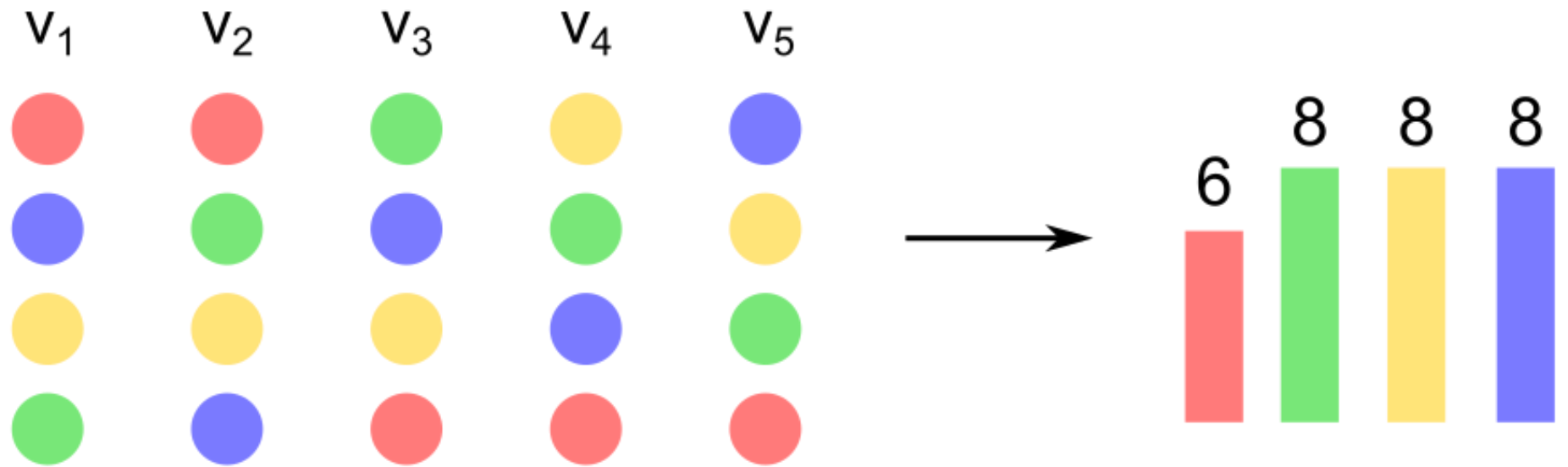


Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

Borda
Count



Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

"My scheme is intended for only honest men."



Borda
Count

2

Borda
Count



3

Plurality
With
Runoff

3

Plurality With Runoff



3

Plurality
With
Runoff

3

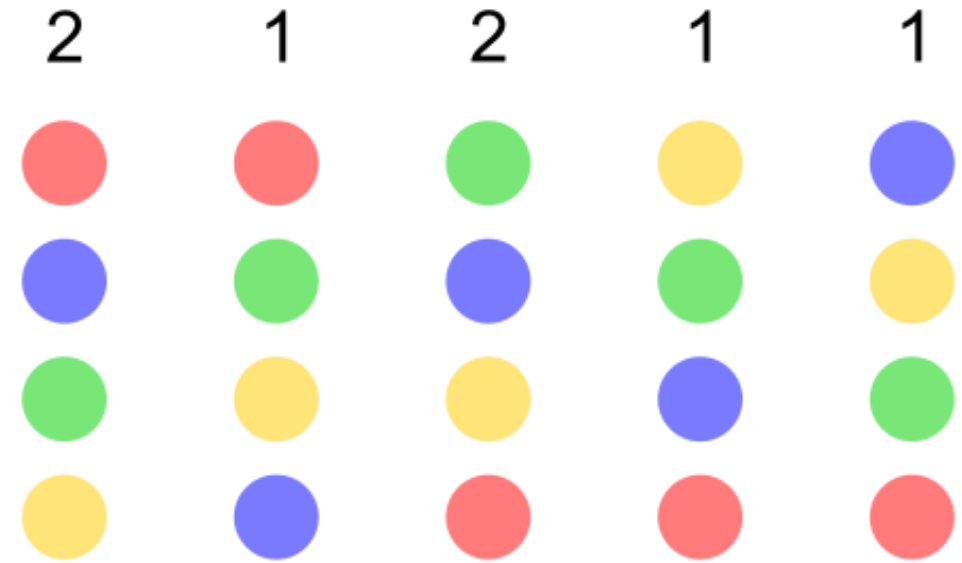
The two candidates with the highest Plurality scores in the first round go head-to-head in the next round

Plurality
With
Runoff

3

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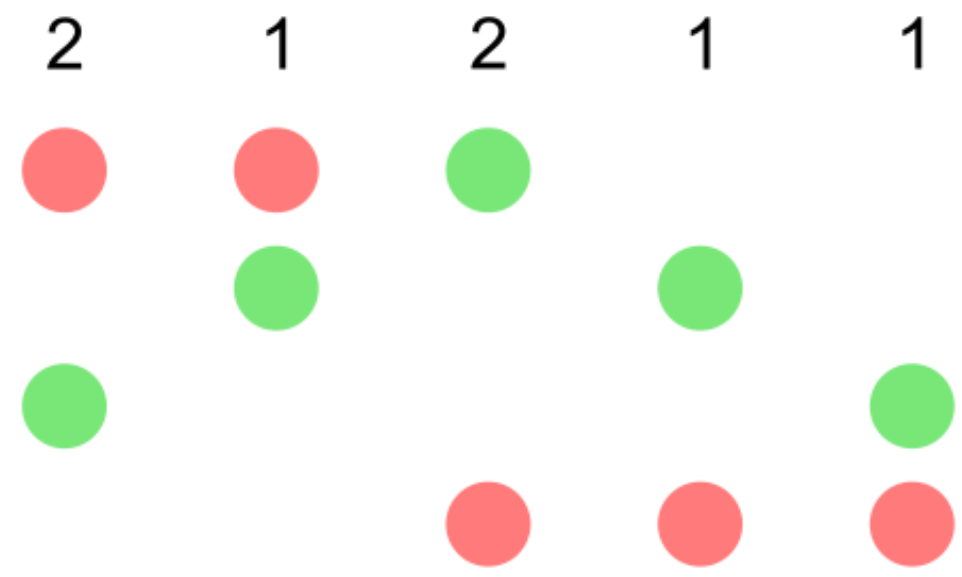
Plurality
With
Runoff



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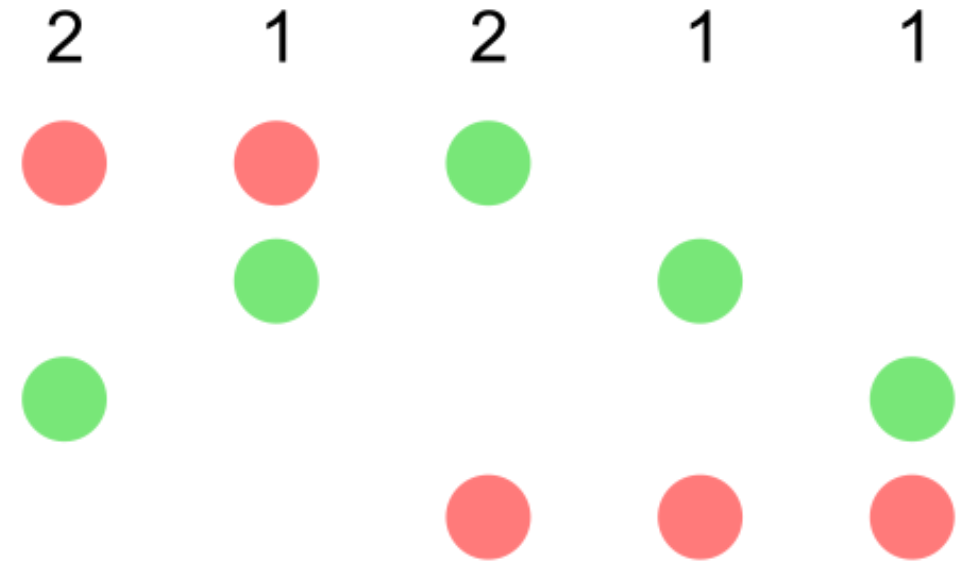
Plurality
With
Runoff



3

The two candidates with the highest Plurality scores in the first round go head-to-head in the next round

Plurality
With
Runoff



Plurality with runoff winner: ●

4

Single
Transferable
Vote

(Instant-Runoff)

4

In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

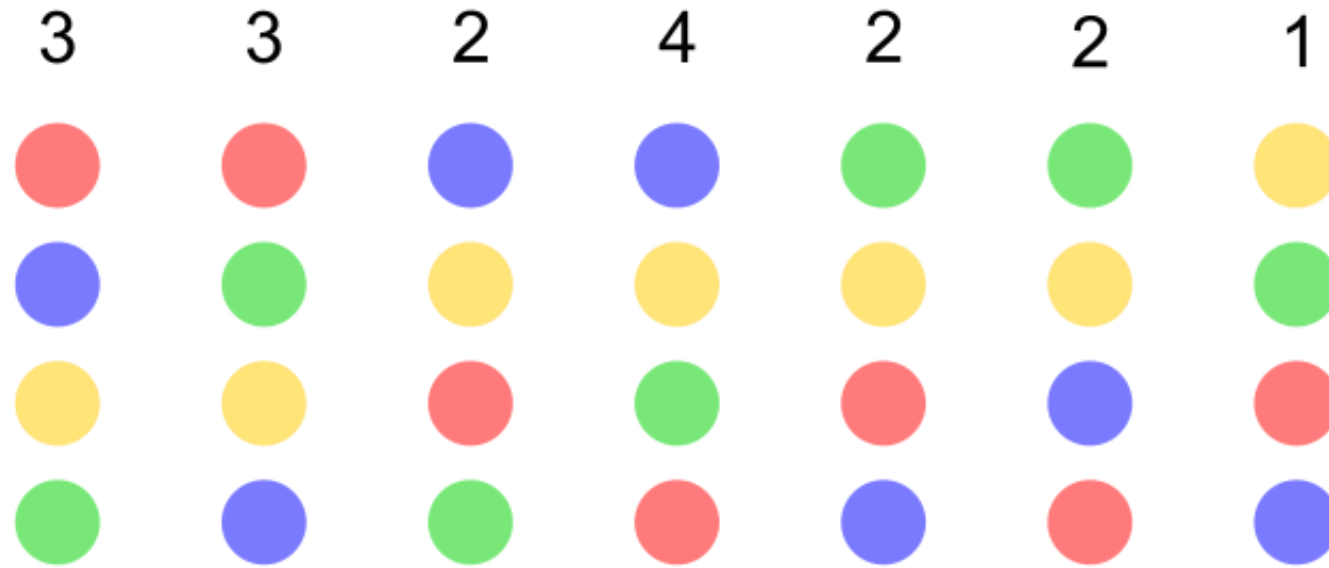
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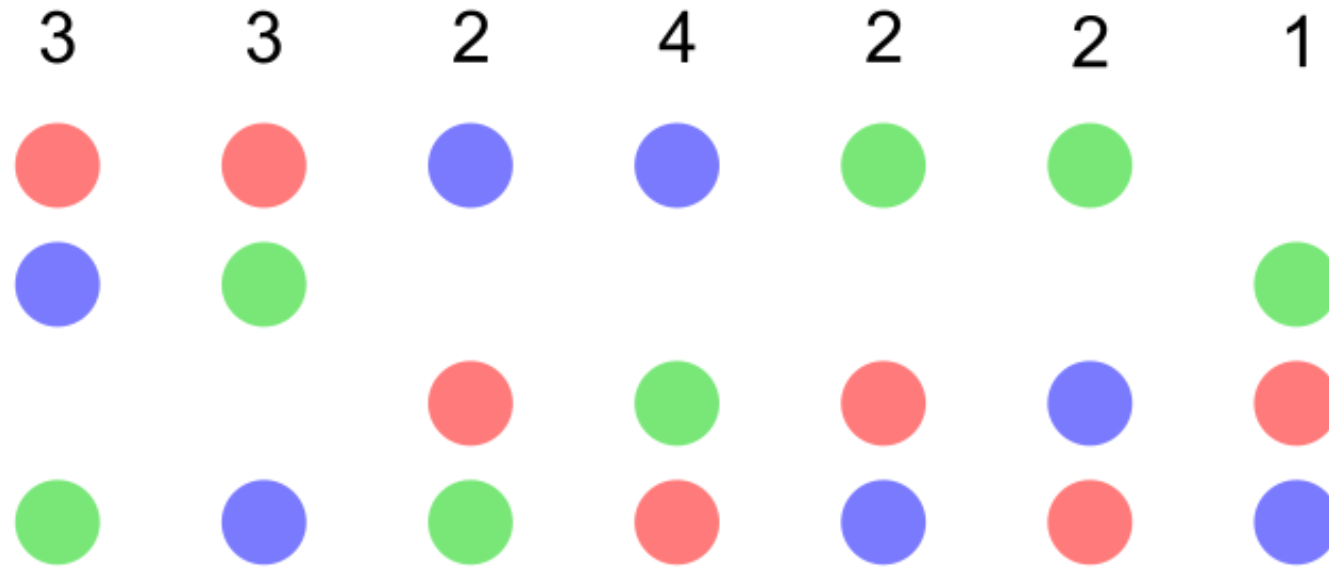
Single
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Vote
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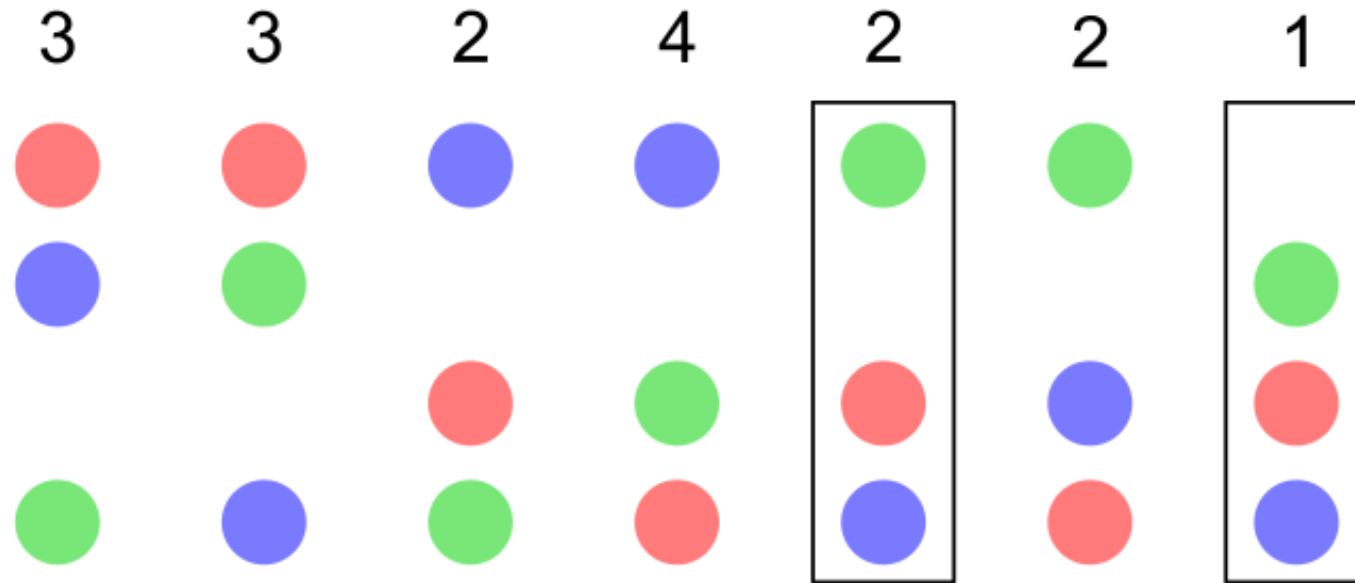
Single
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Vote
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4

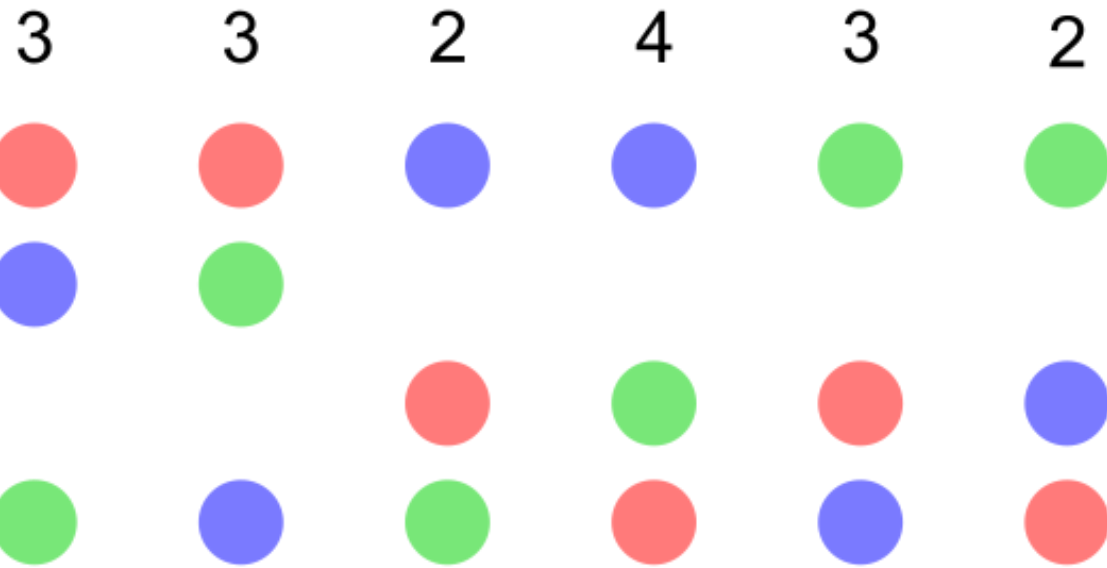
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Single
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Single

Transferable

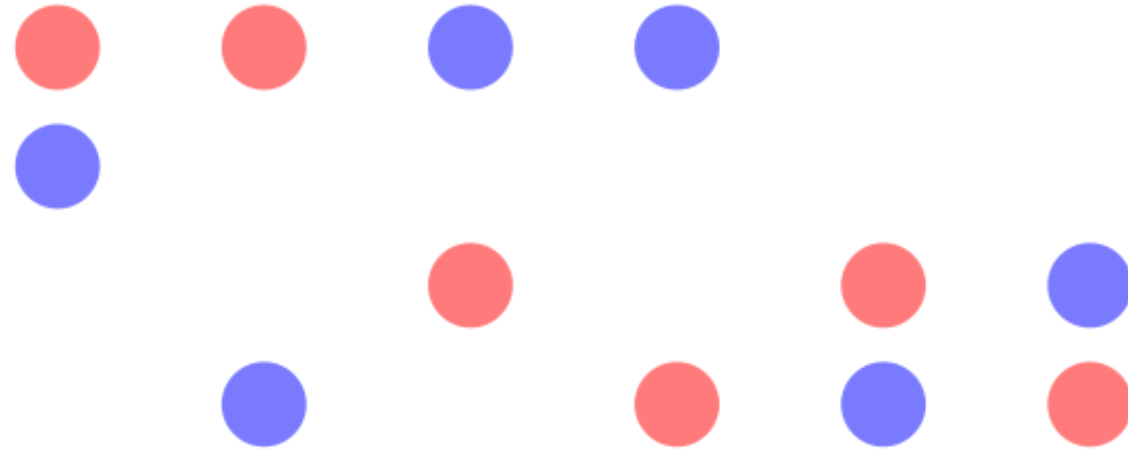
Vote

(Instant-Runoff)

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In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

3 3 2 4 3 2



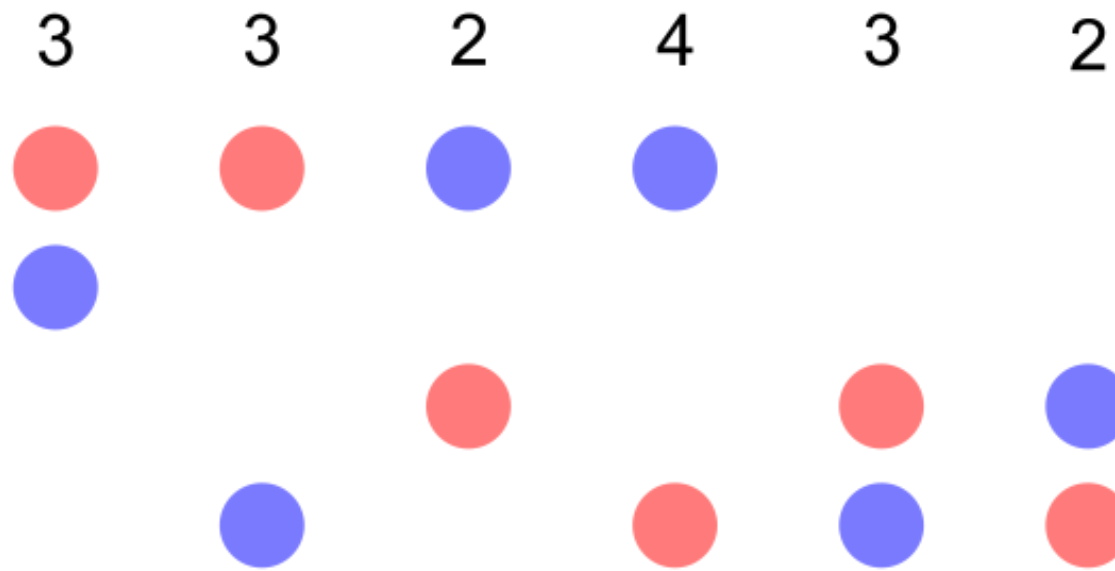
Single
Transferable
Vote

(Instant-Runoff)

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In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

Single
Transferable
Vote
(Instant-Runoff)



STV winner: 

4

Single
Transferable
Vote

(Instant-Runoff)



4

Single
Transferable
Vote

(Instant-Runoff)



4

Single
Transferable
Vote

(Instant-Runoff)



4

Single
Transferable
Vote

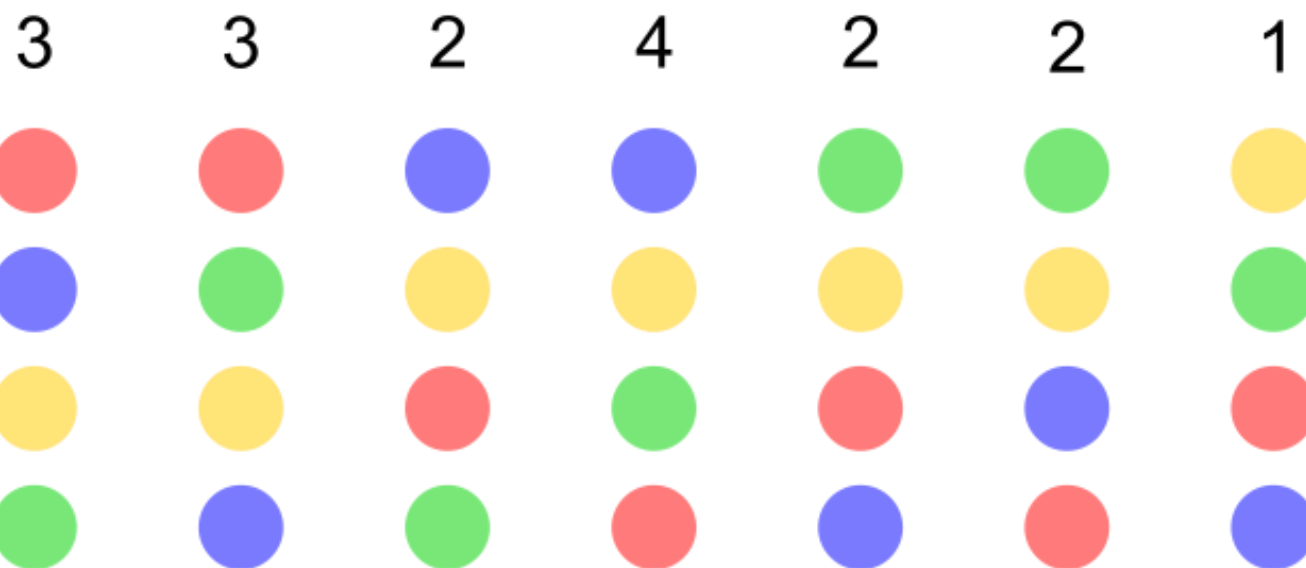
(Instant-Runoff)

Problem: Failure of *monotonicity*
(improving a candidate's support could make it worse off)

4

Problem: Failure of *monotonicity*

(improving a candidate's support could make it worse off)



Recall that STV winner was 

Single
Transferable
Vote

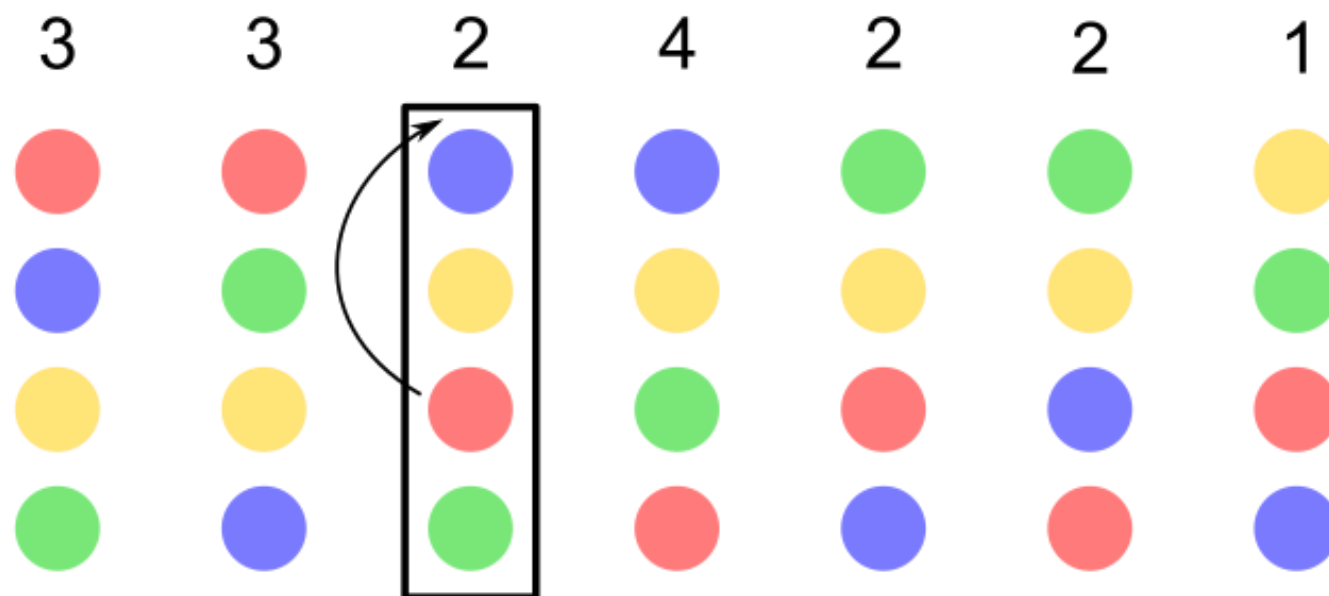
(Instant-Runoff)

4

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Single
Transferable
Vote
(Instant-Runoff)

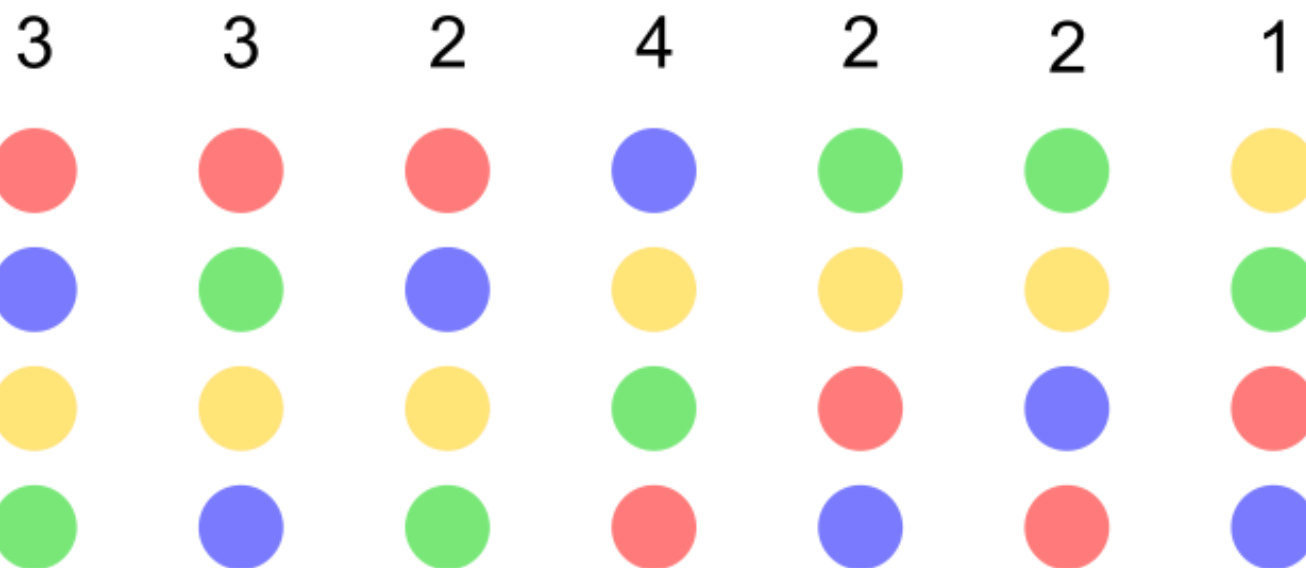


Recall that STV winner was ●

4

Problem: Failure of *monotonicity*

(improving a candidate's support could make it worse off)



Recall that STV winner was 

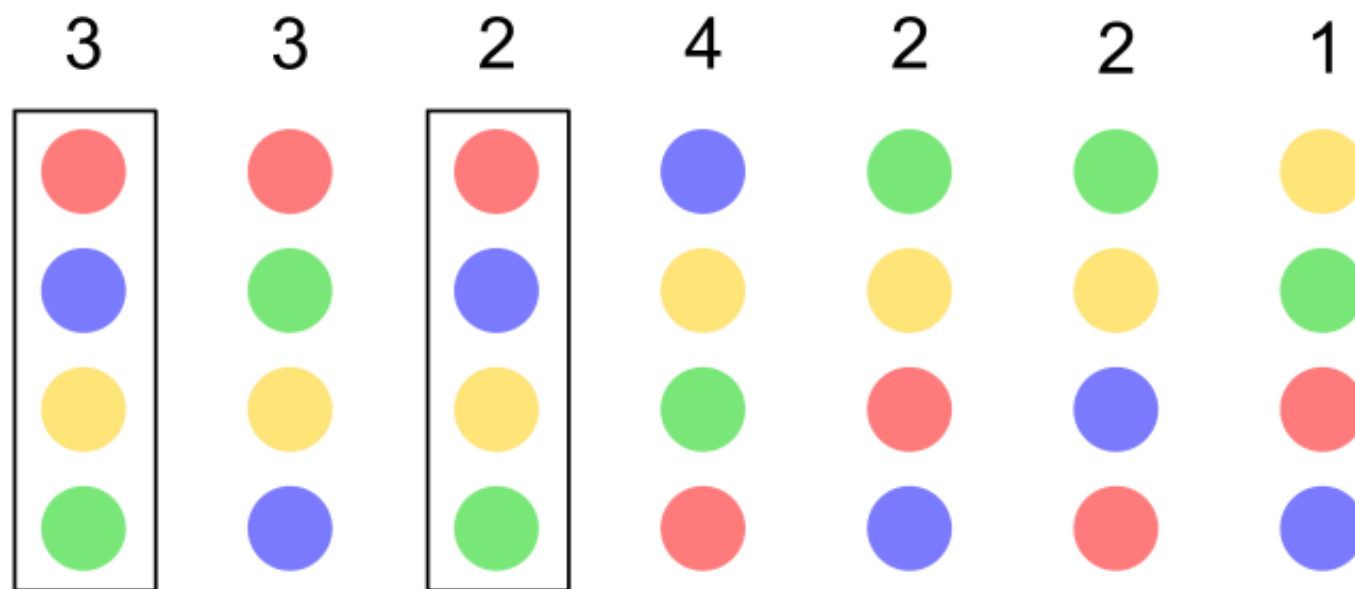
Single
Transferable
Vote
(Instant-Runoff)

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Single
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Vote
(Instant-Runoff)

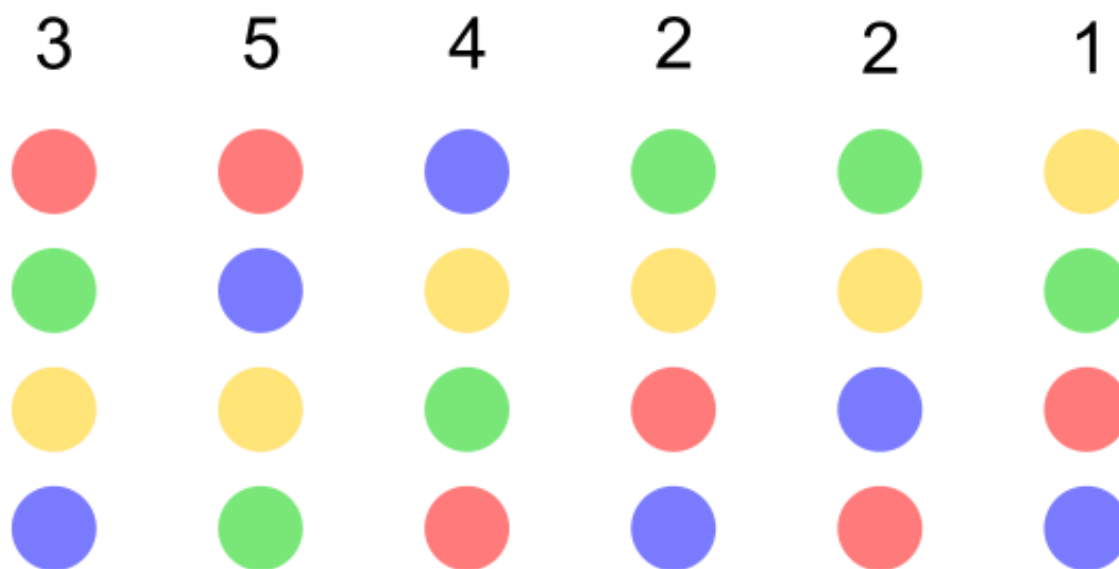


Recall that STV winner was 

4

Problem: Failure of *monotonicity*

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Recall that STV winner was 

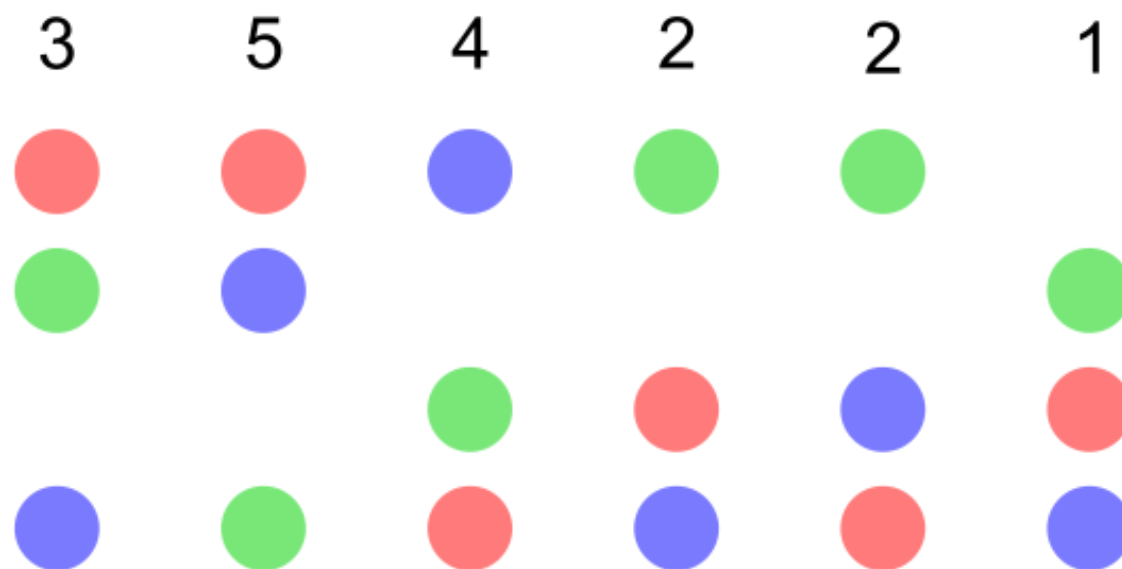
Single
Transferable
Vote

(Instant-Runoff)

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Problem: Failure of *monotonicity*

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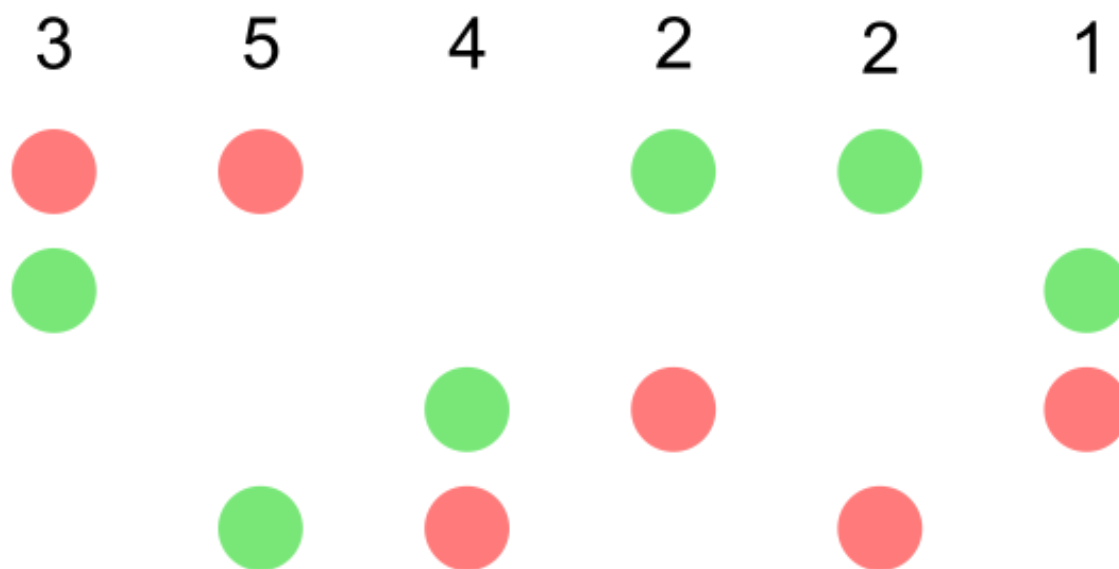
Recall that STV winner was 

Single
Transferable
Vote
(Instant-Runoff)

4

Problem: Failure of *monotonicity*

(improving a candidate's support could make it worse off)



Recall that STV winner was ●

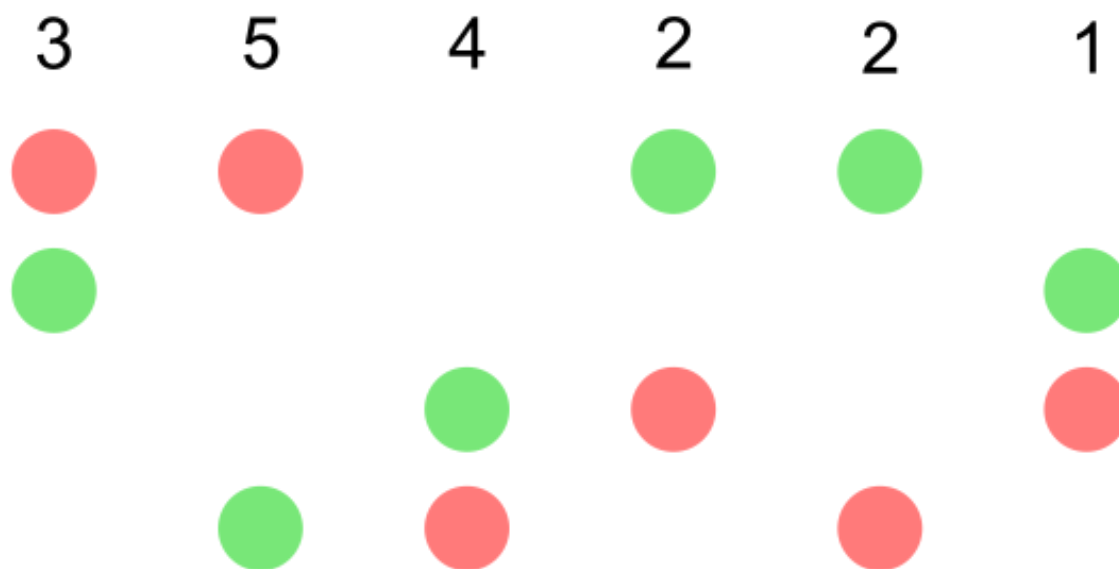
Single
Transferable
Vote



(Instant-Runoff)

4

Problem: Failure of *monotonicity*
(improving a candidate's support could make it worse off)

Single
Transferable
Vote
(Instant-Runoff)



Recall that STV winner was 
but now it's 

4

Single
Transferable
Vote

(Instant-Runoff)

2016 host city election ballots results [\[edit \]](#)

City	NOC	Round 1	Round 2	Round 3
Rio de Janeiro	 Brazil (COB)	26	46	66
Madrid	 Spain (COE)	28	29	32
Tokyo	 Japan (JOC)	22	20	—
Chicago	 United States (USOC)	18	—	—

Venue
Bella Center 121st IOC Session October 2, 2009  Copenhagen

Vote details	Round 1	Round 2	Round 3
Eligible members	95	97	99
Participants	94	96	98
Abstentions	0	1	0
Valid ballots	94	95	98

4

2016 host city election ballots results [\[edit \]](#)

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Venue
Bella Center 121st IOC Session October 2, 2009  Copenhagen

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Transferable
Vote

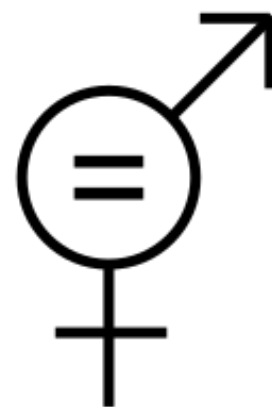
(Instant-Runoff)



Nicolas de Condorcet
(1743-1794)



Nicolas de Condorcet
(1743-1794)



If a candidate beats every other candidate
in a head-to-head election, select it!

If a candidate beats every other candidate
in a head-to-head election, select it!

3



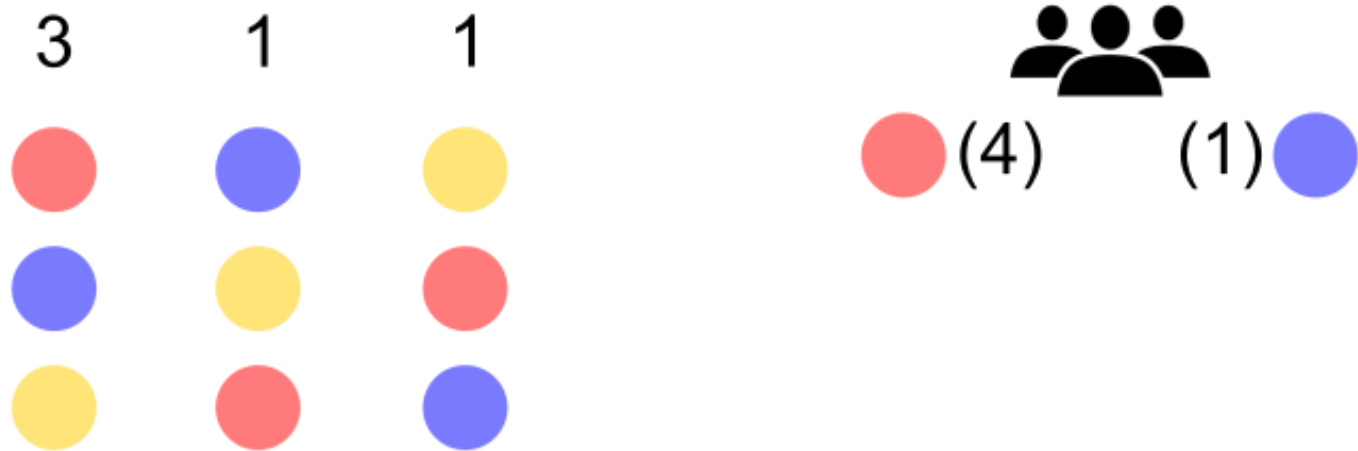
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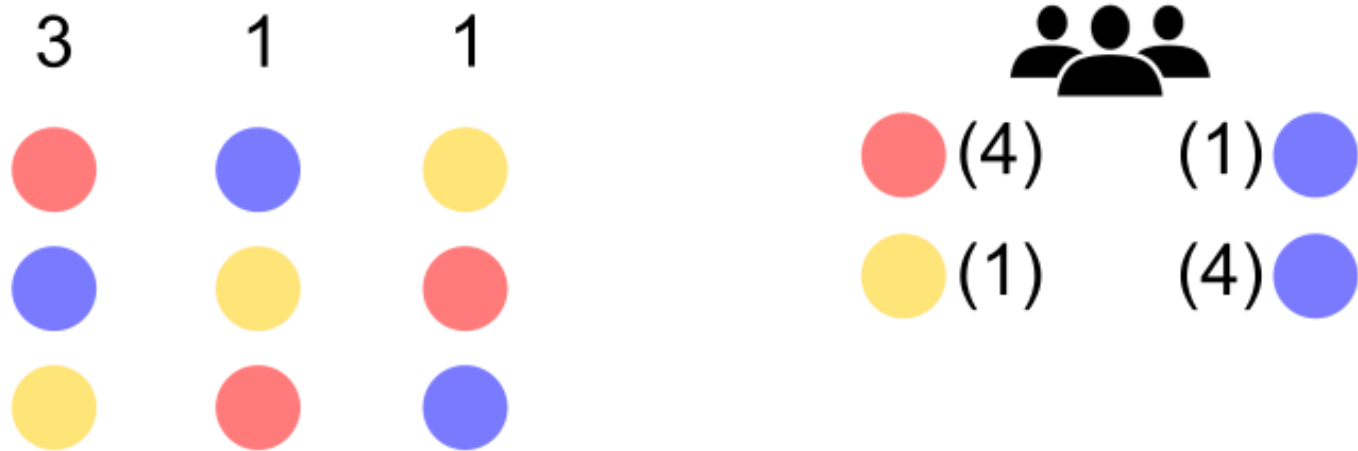
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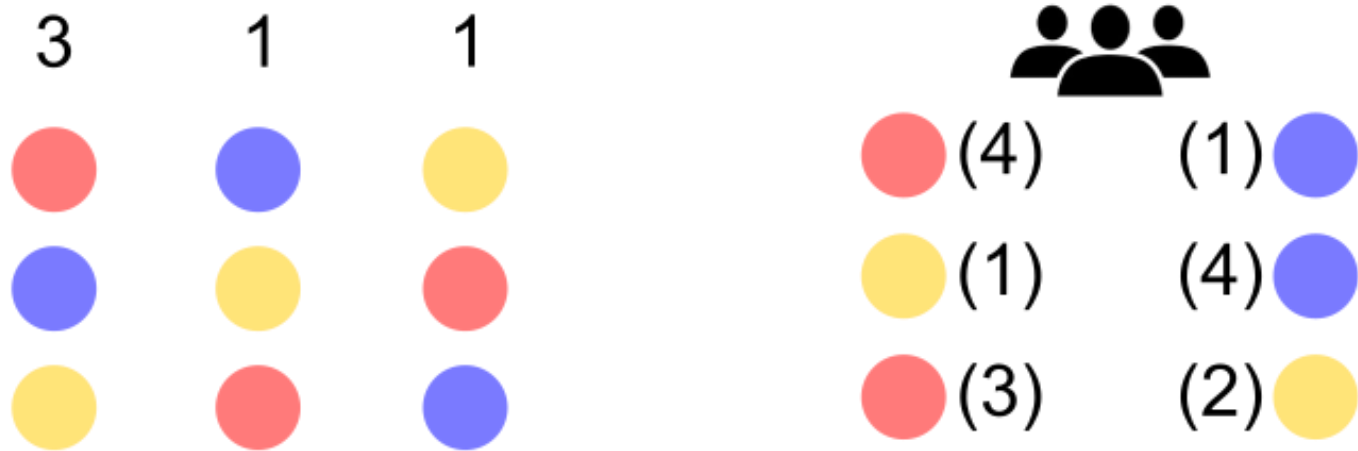
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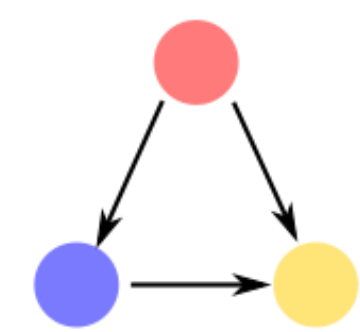
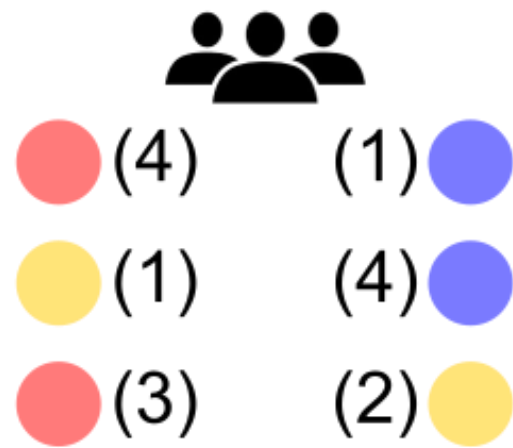
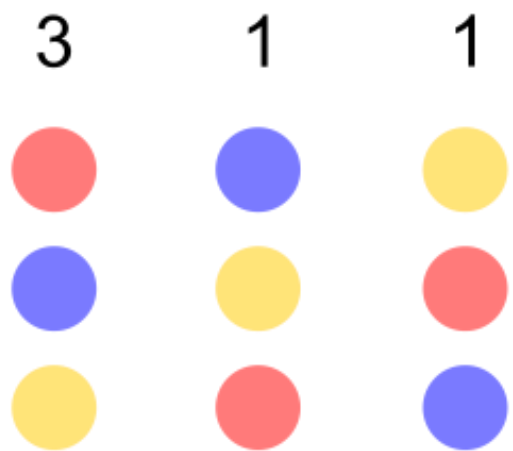
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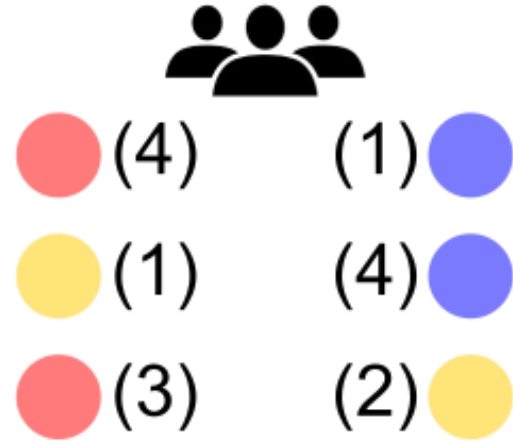
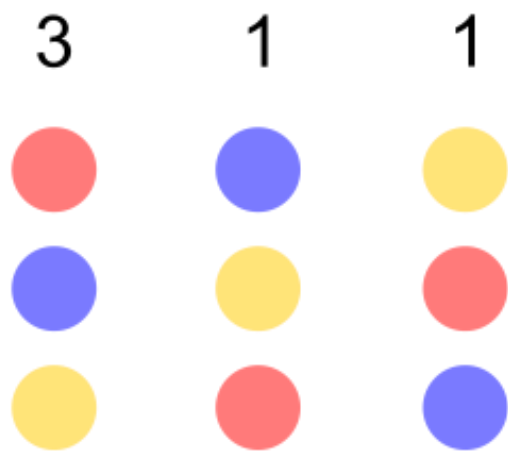
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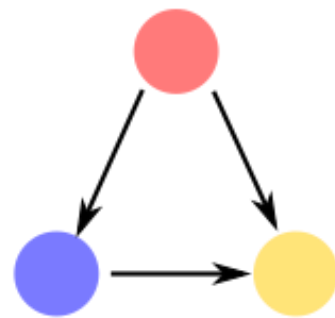
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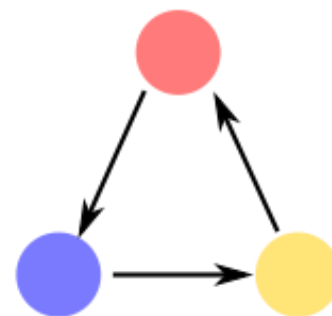
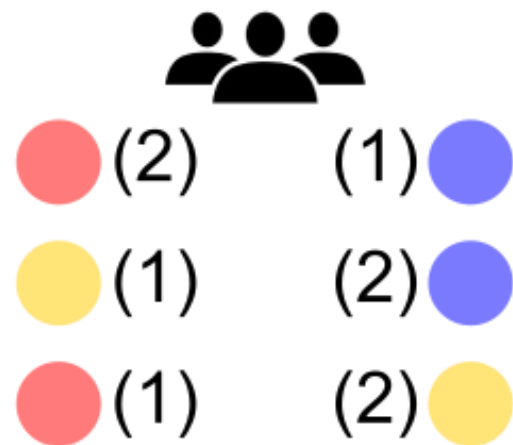
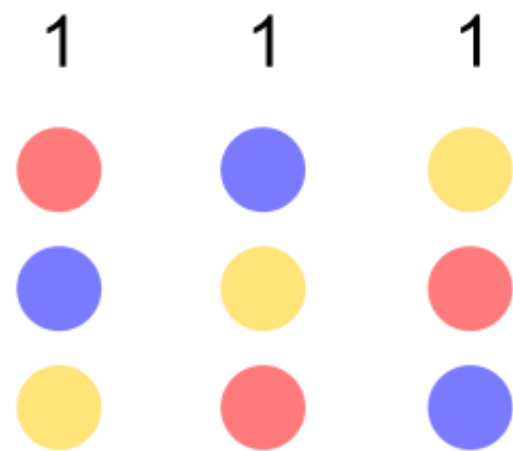


Condorcet winner

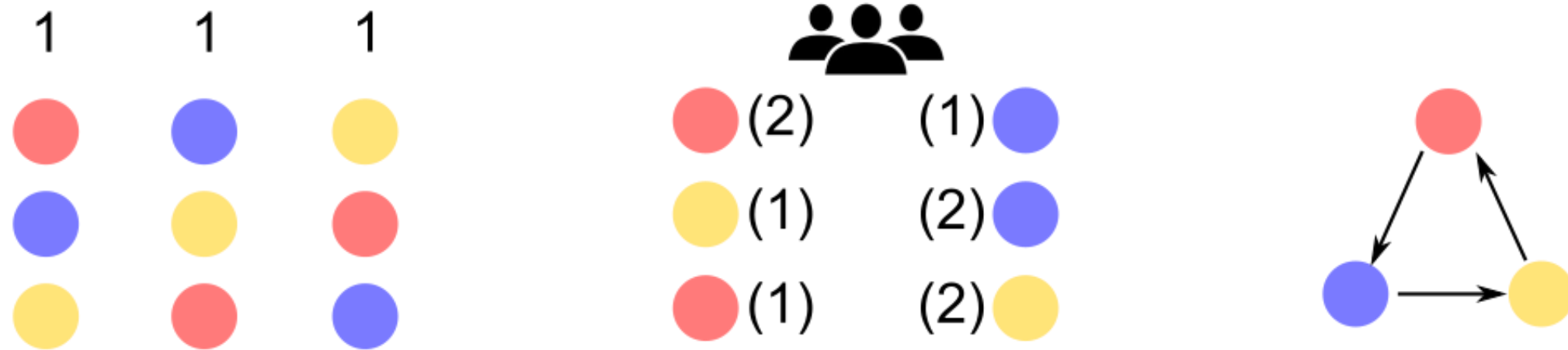


Problem: A Condorcet winner may not exist

Problem: A Condorcet winner may not exist



Problem: A Condorcet winner may not exist



Condorcet paradox

Transitivity of individual preferences \nRightarrow Transitivity of societal preferences

5

Copeland

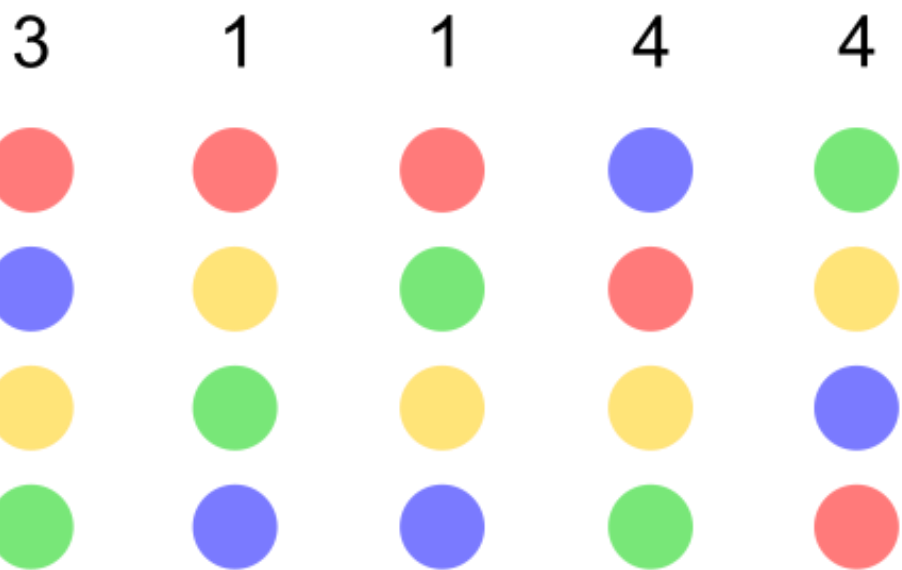
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For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie

Copeland

5

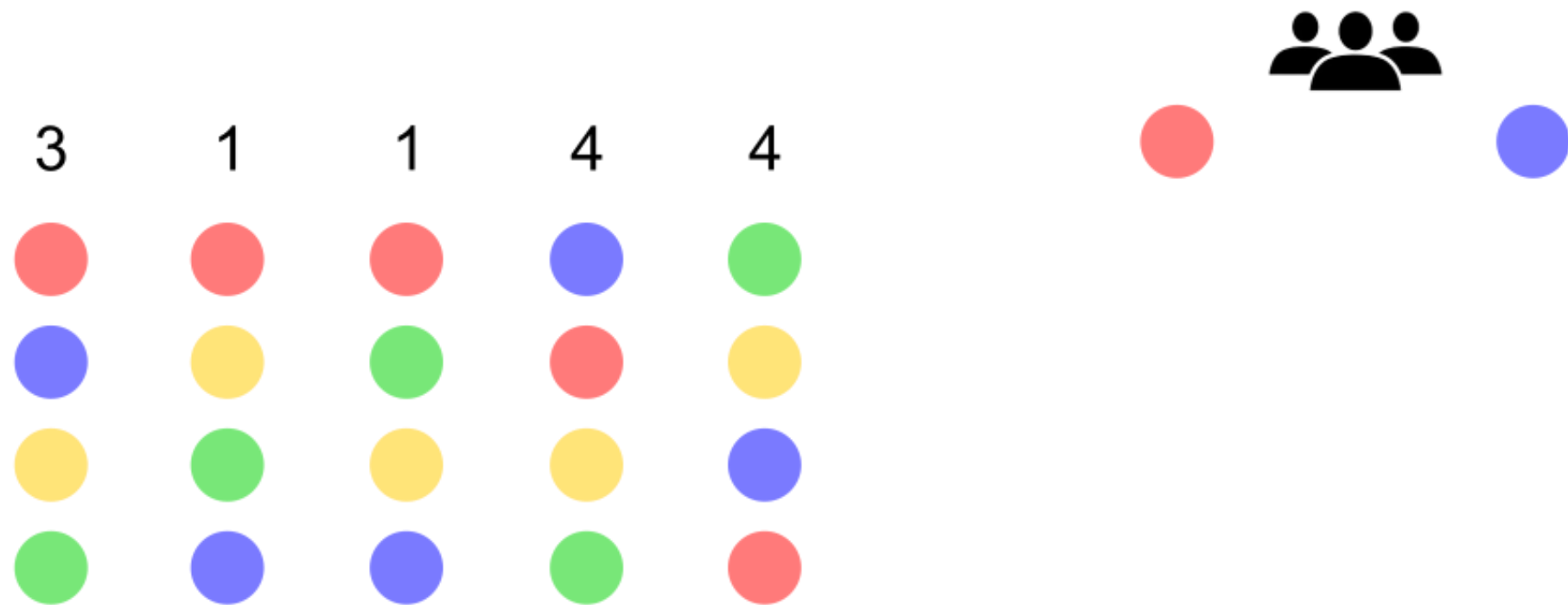
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Copeland

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Copeland

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3



1



1



4



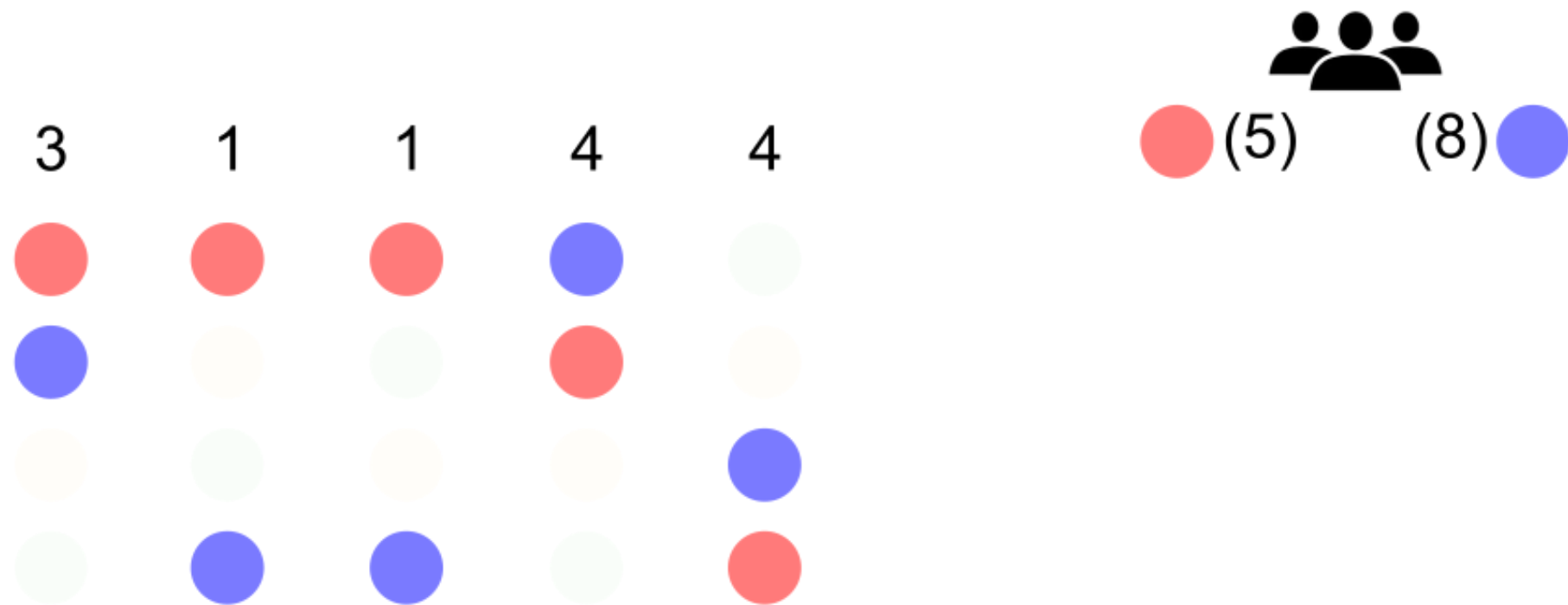
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Copeland

5

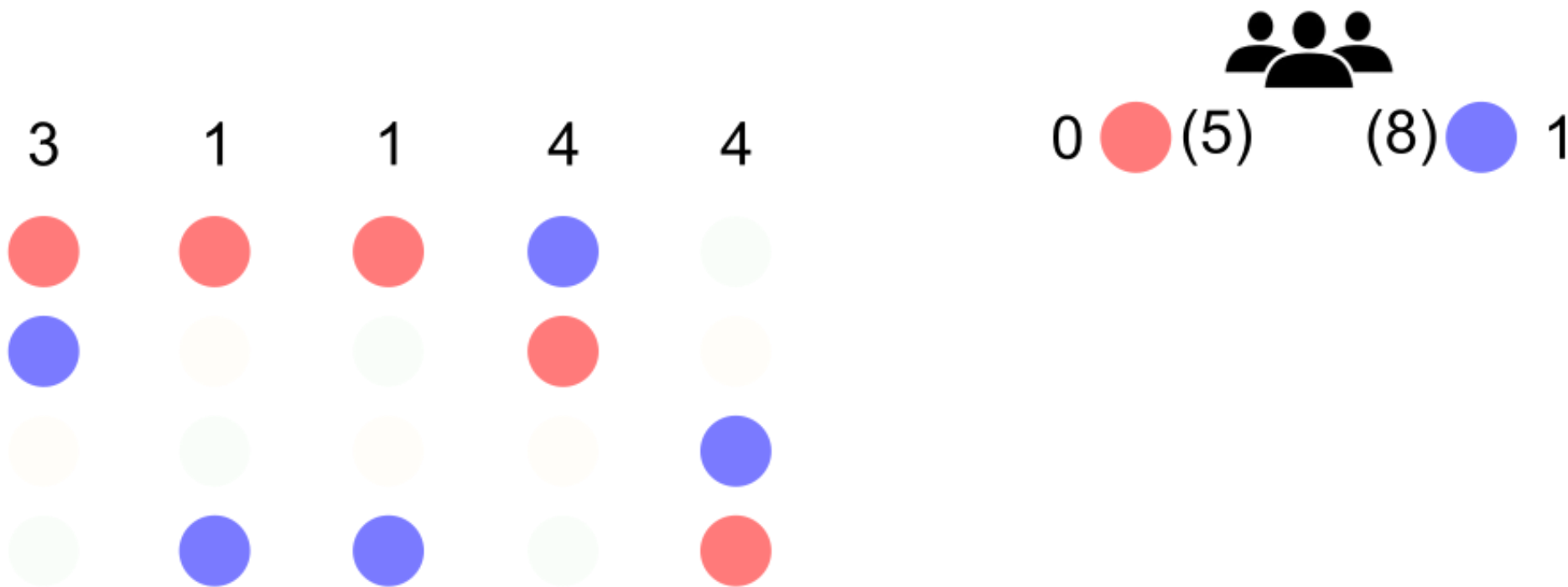
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Copeland

5

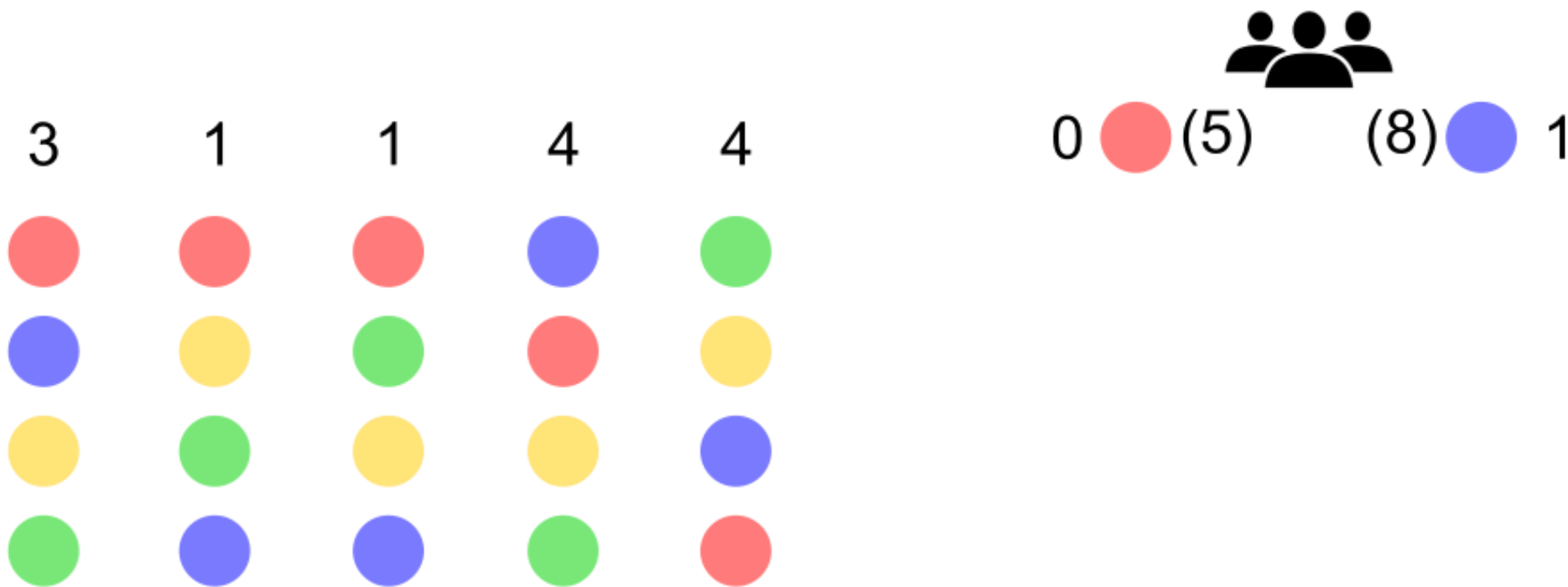
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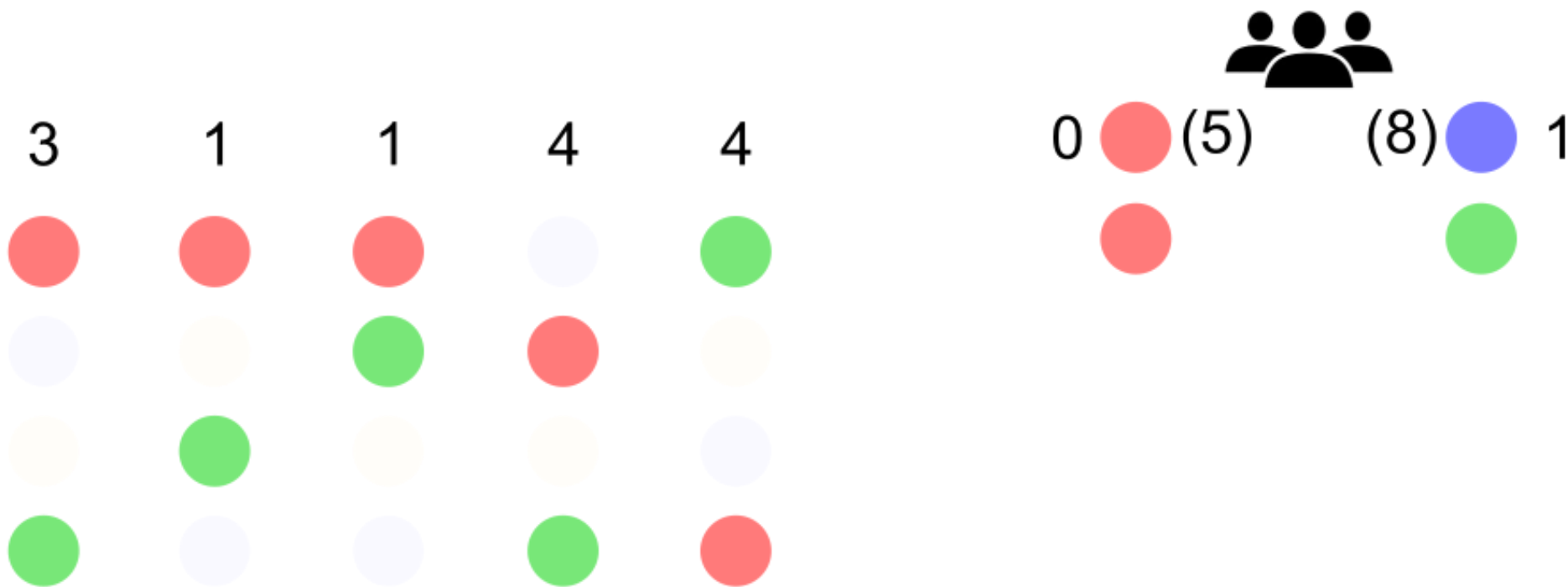
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Copeland

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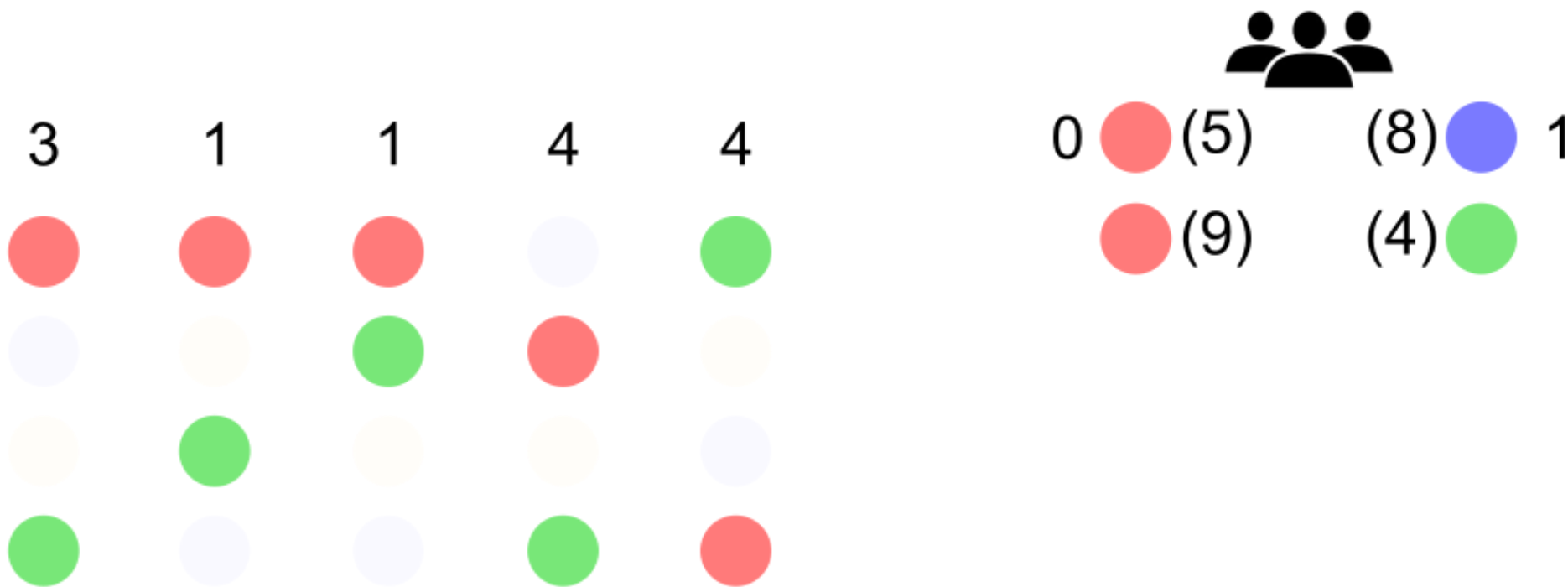
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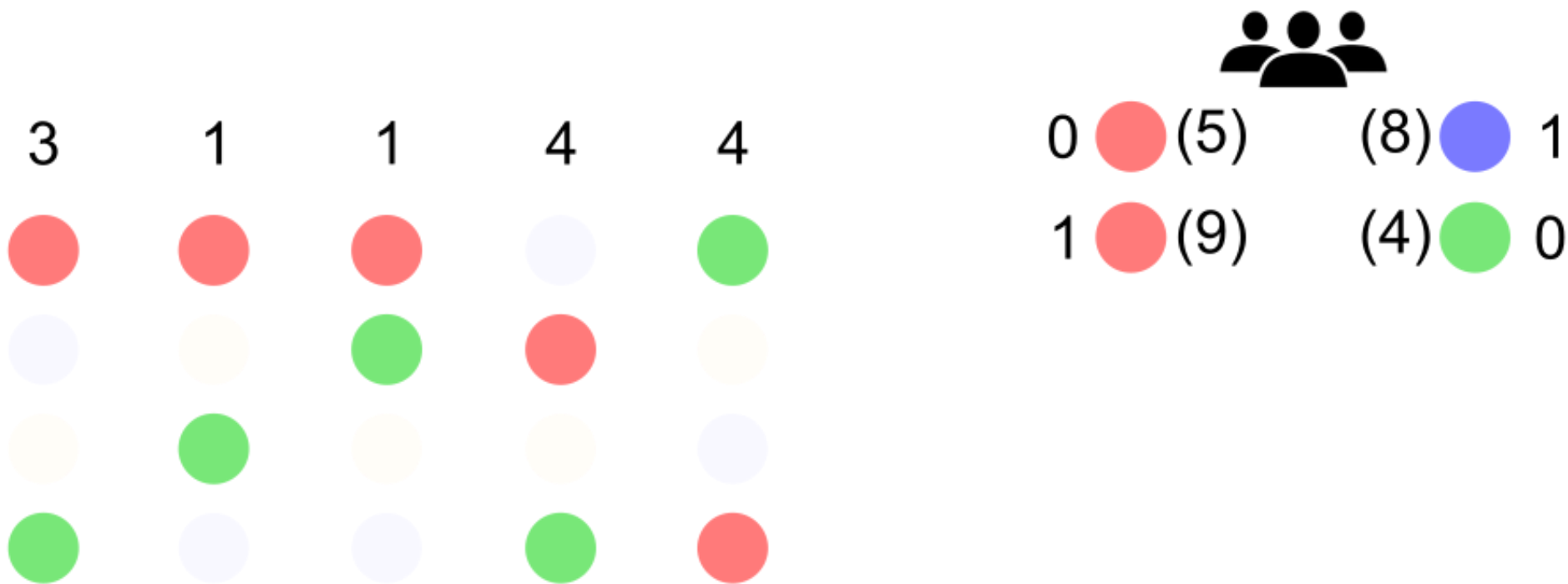
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Copeland

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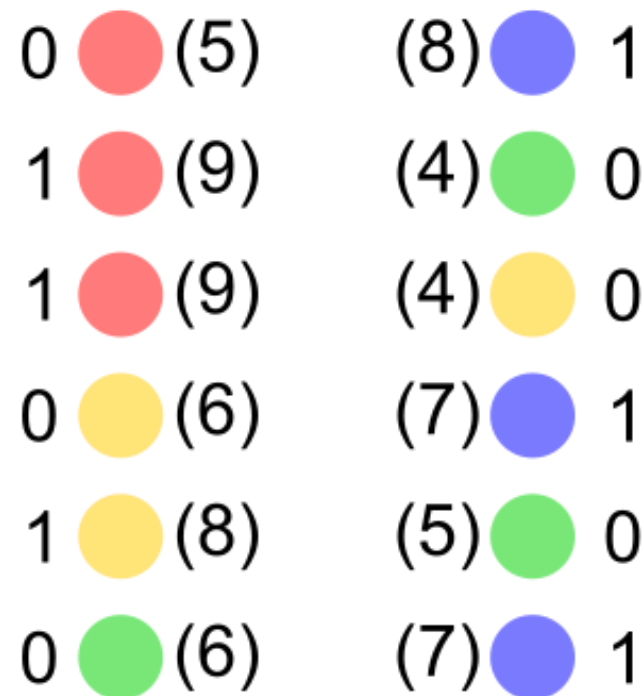
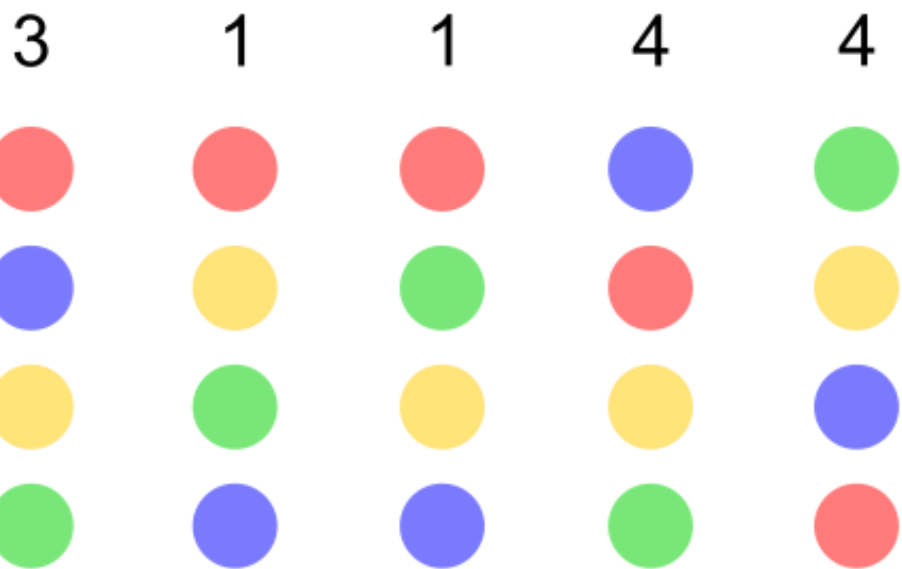
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Copeland

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3

1

1

4

4



0 (5) (8) 1

1 (9) (4) 0

1 (9) (4) 0

0 (6) (7) 1

1 (8) (5) 0

0 (6) (7) 1

Copeland winner:

Copeland

5



BUNDESLIGA



**Premier
League**



**ICC WOMEN'S
CRICKET WORLD CUP
NEW ZEALAND 2022**



**INDIAN
PREMIER
LEAGUE**

Copeland

5

Problem: Voters are sometimes better off not voting

Copeland

5

Problem: Voters are sometimes better off not voting

Copeland



Copeland winner: ●

5

Problem: Voters are sometimes better off not voting

Copeland



Copeland winner: ●

5

Problem: Voters are sometimes better off not voting

Copeland



Copeland winner: ●

6

Schulze

6

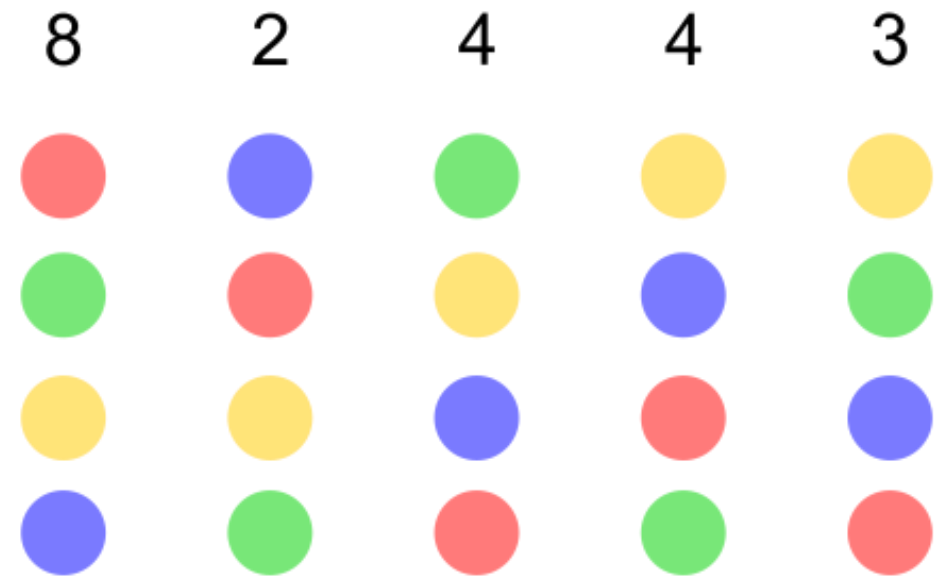
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

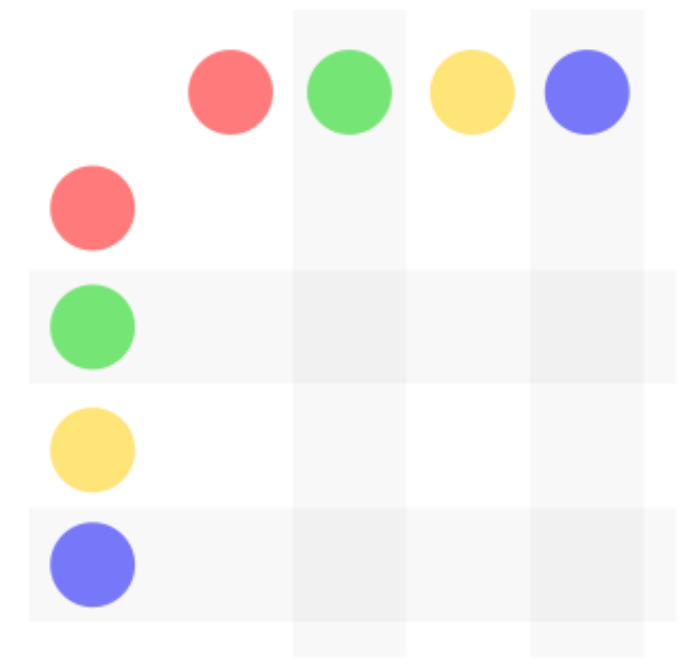
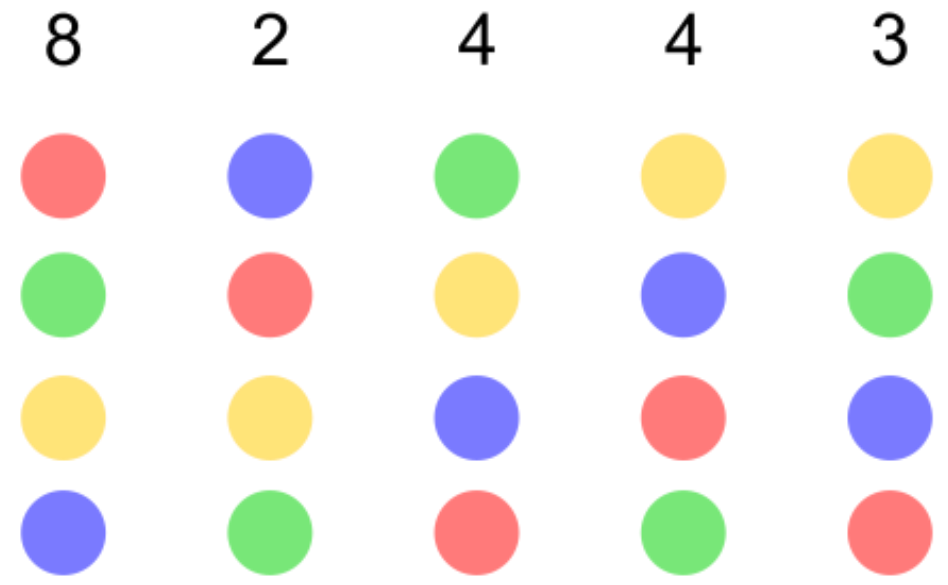
Schulze



6

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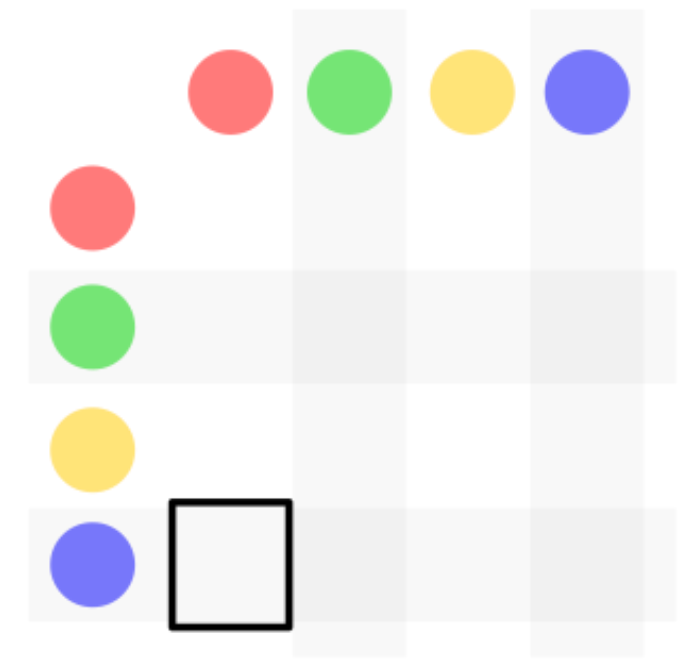
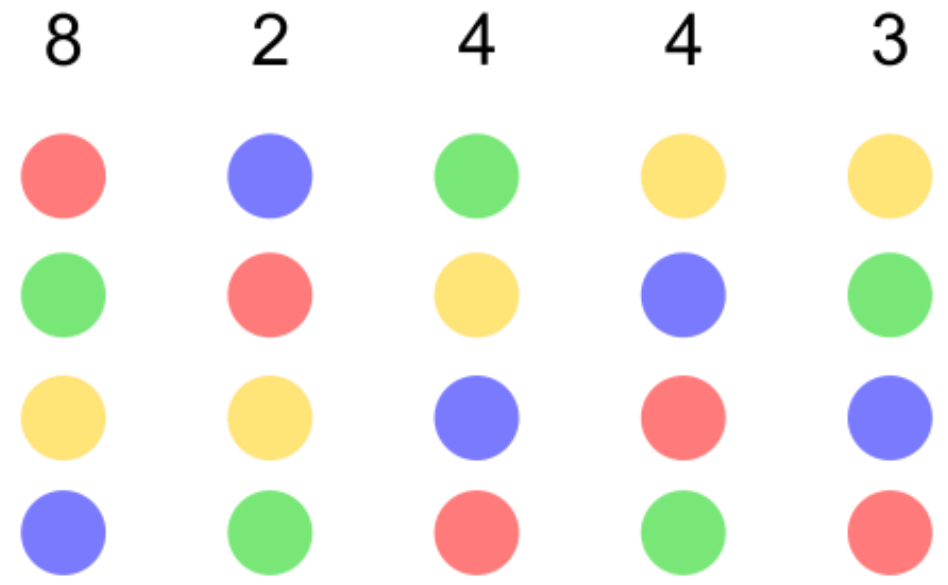
Schulze



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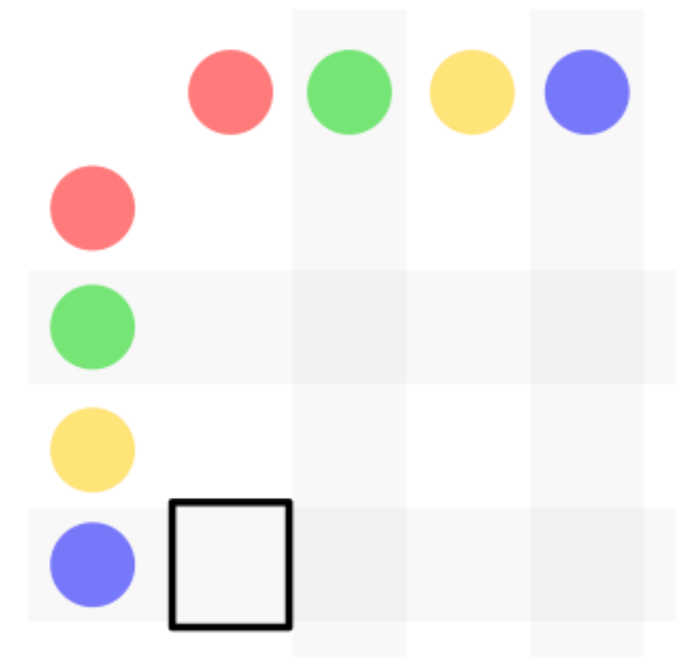
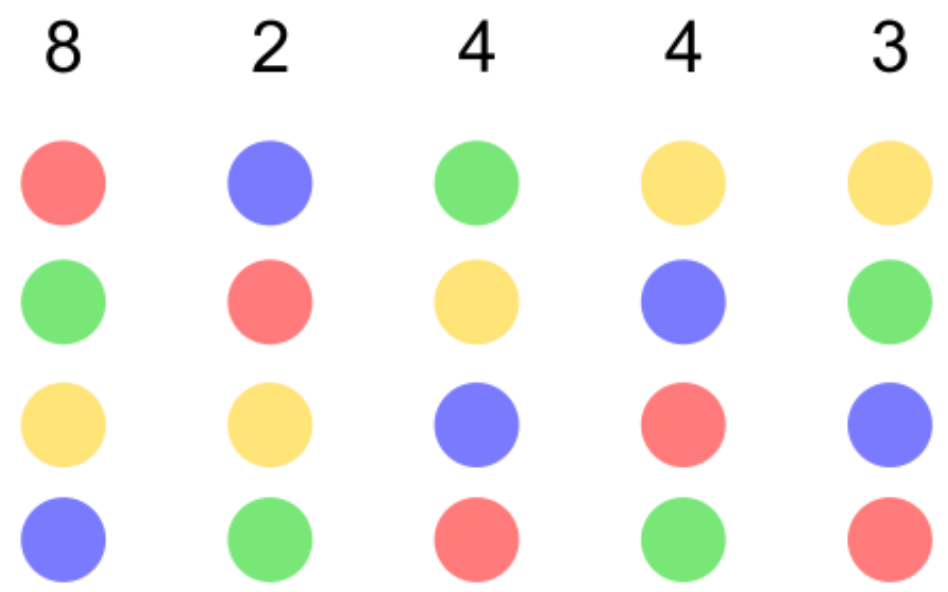
Schulze



6

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Schulze



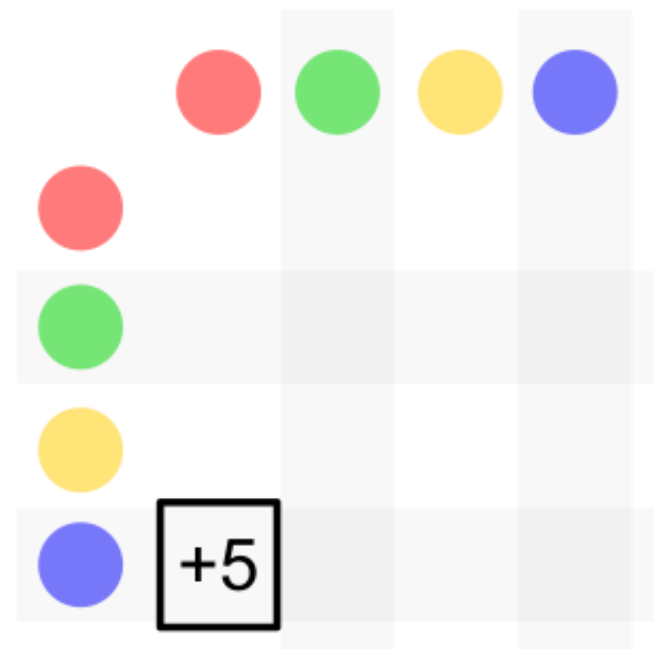
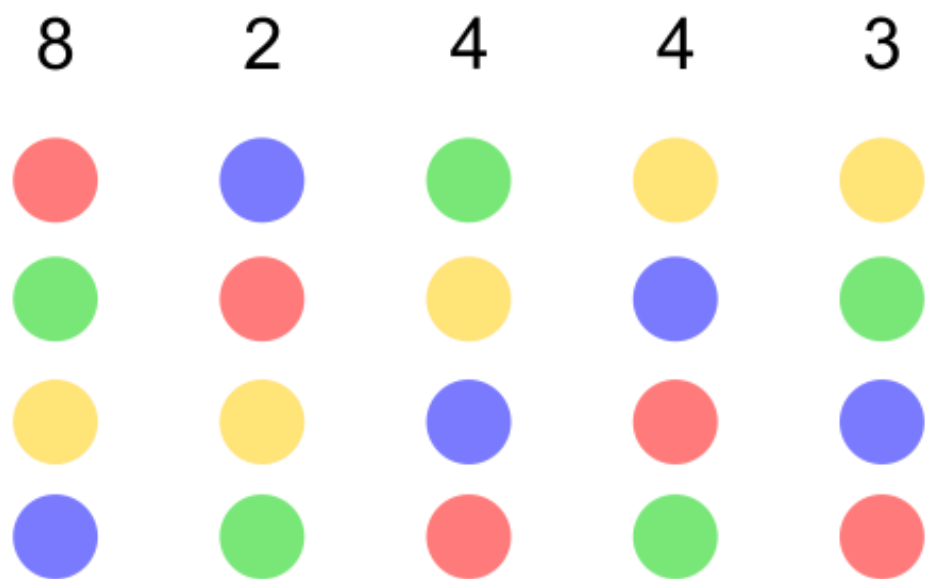
#voters who think Blue > Red $(2+4+4+3) - 8$

#voters who think Red > Blue 8

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



#voters who think ● > ●

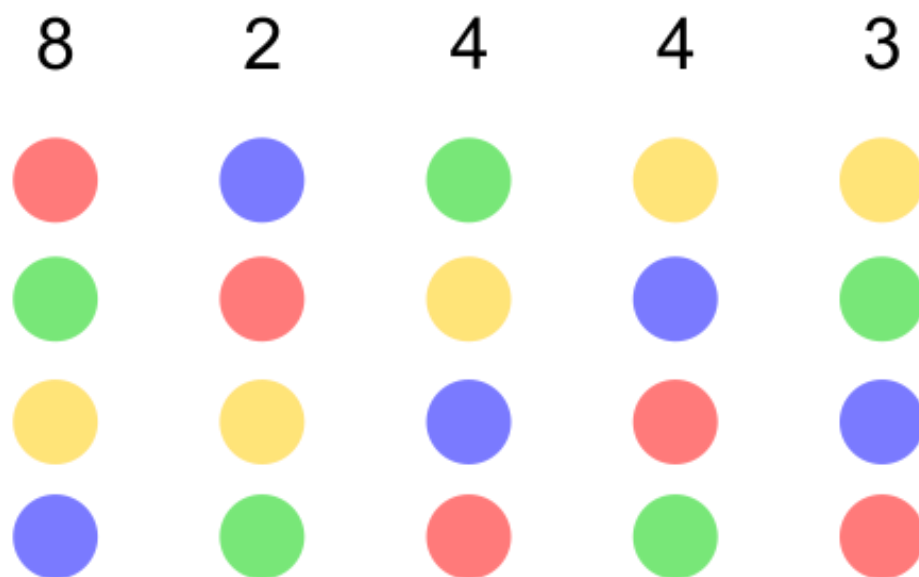
$$(2+4+4+3) - 8$$

#voters who think ● > ●

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



	Red	Green	Yellow	Blue
Red	0	+7	-1	-5
Green	-7	0	+3	+9
Yellow	+1	-3	0	+17
Blue	+5	-9	-17	0

#voters who think **Blue** > **Red**

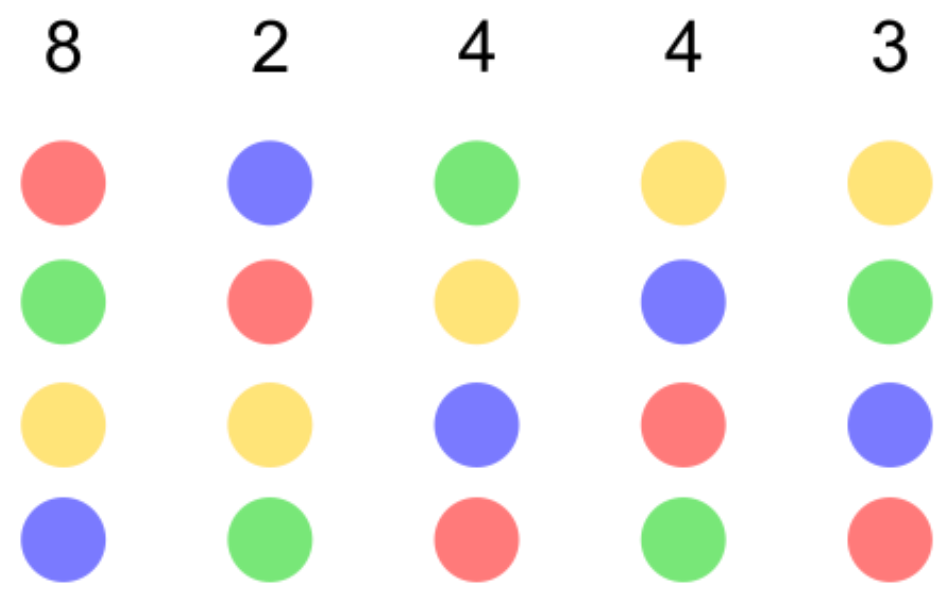
$$(2+4+4+3) - 8$$

#voters who think **Red** > **Blue**

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



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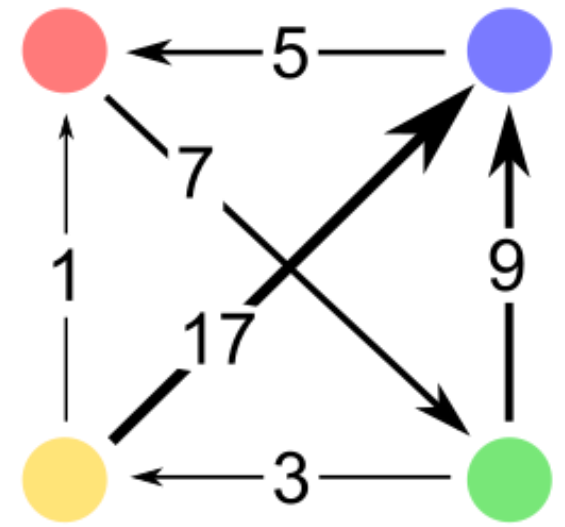
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

				
	0	+7	-1	-5
	-7	0	+3	+9
	+1	-3	0	+17
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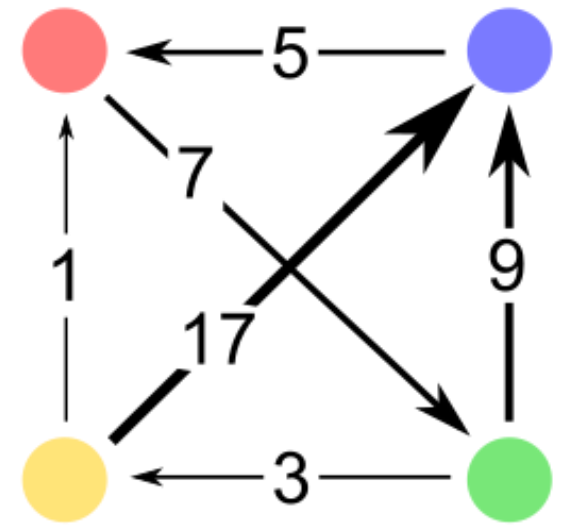
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Schulze

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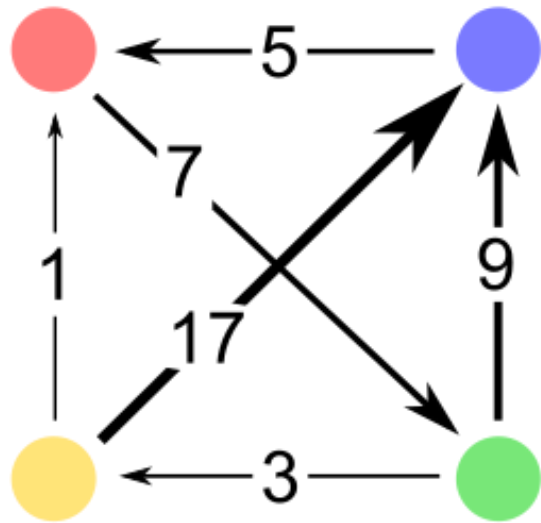
Schulze



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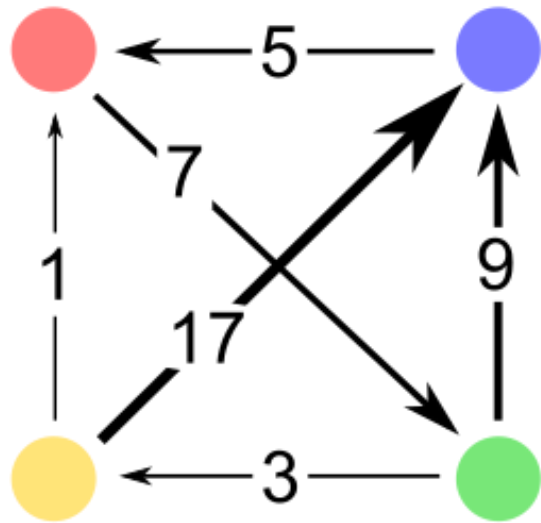
The **strength** of a path/chain is the weight of the **weakest** link in it



Schulze

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



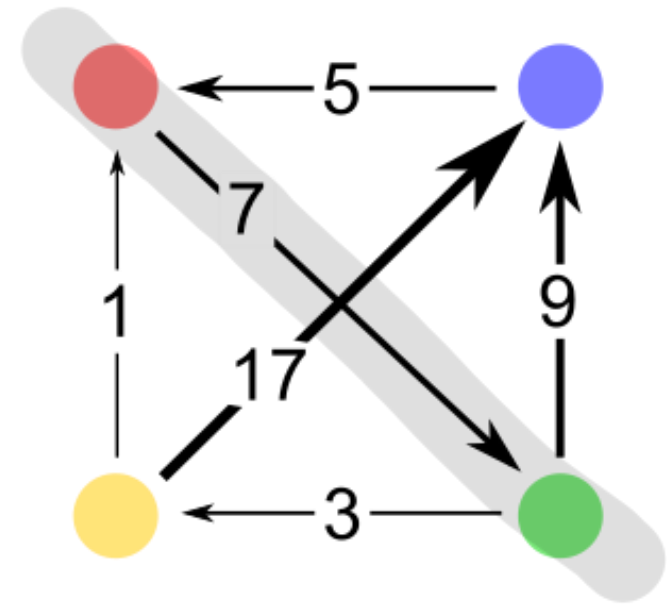
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What's the strongest path from ● to ●?

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



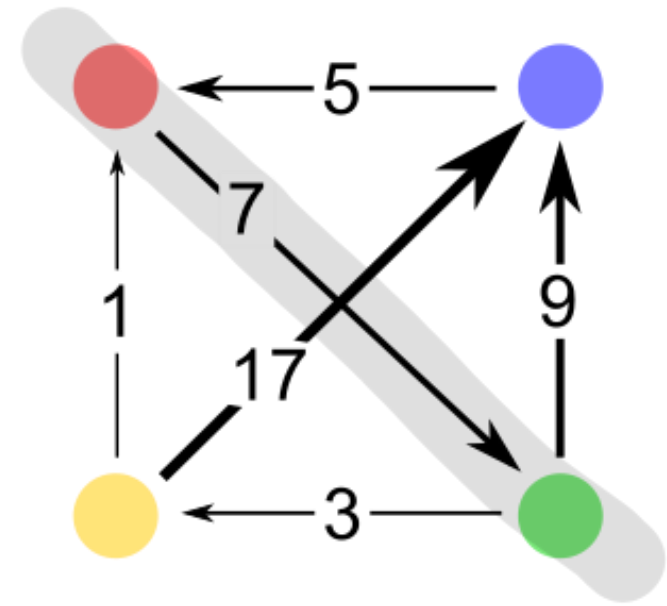
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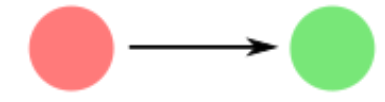
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Schulze



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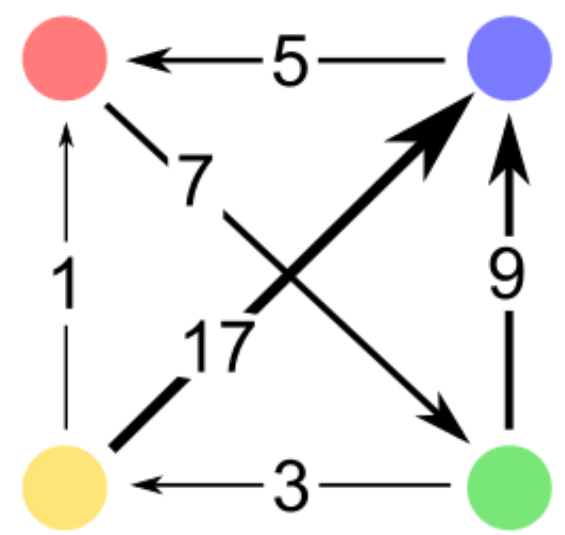
What's the strongest path from red to green?



6

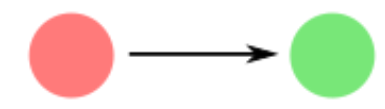
Schulze

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



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What's the strongest path from red to green?

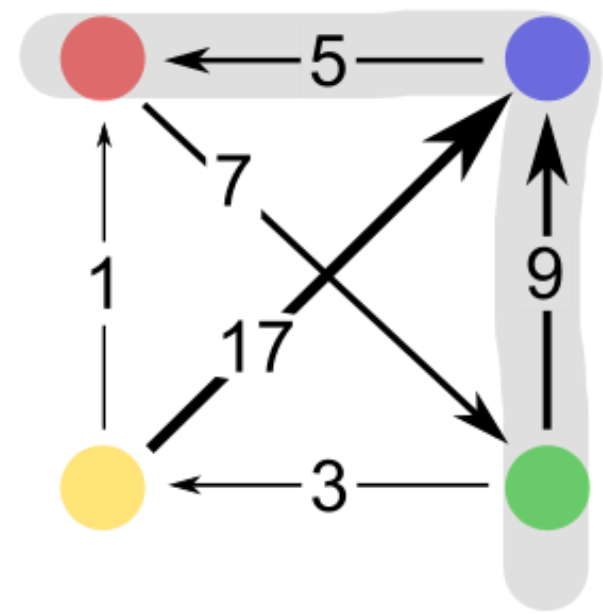


What's the strongest path from green to red?

6

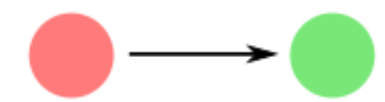
Schulze

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



The **strength** of a path/chain is the weight of the **weakest** link in it

What's the strongest path from red to green?



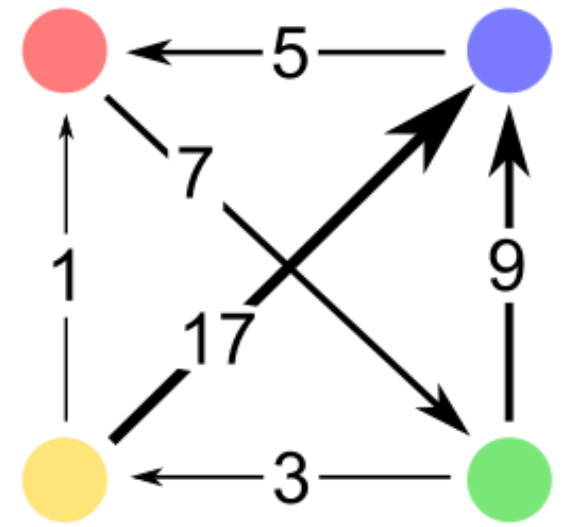
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Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

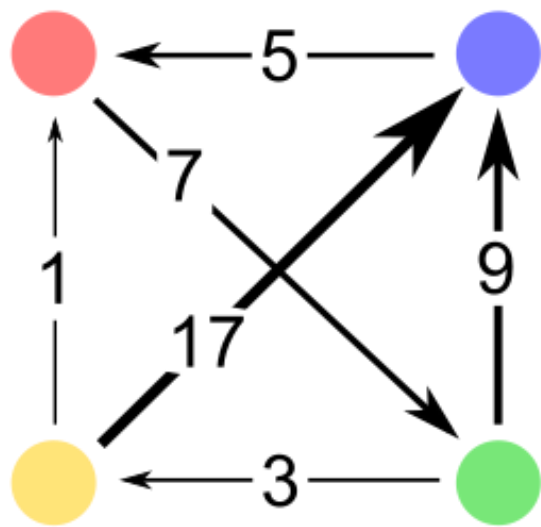
Schulze



6

Schulze

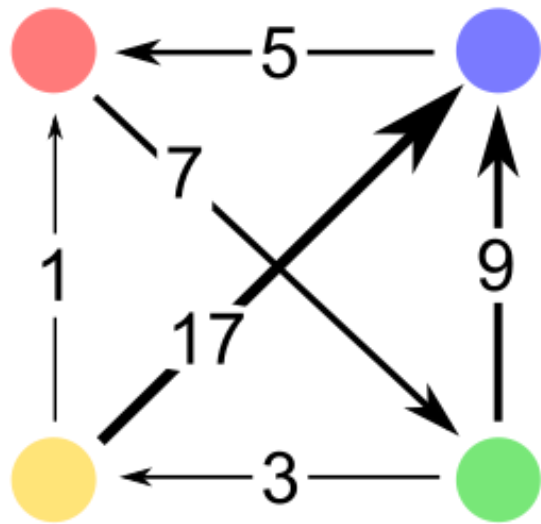
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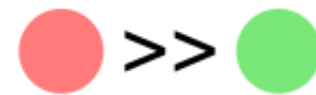
$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

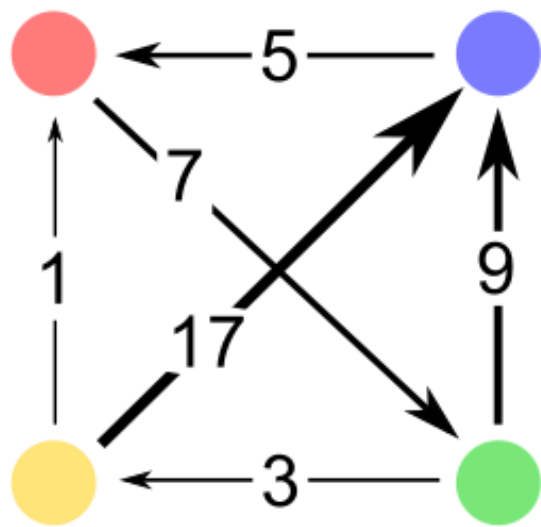


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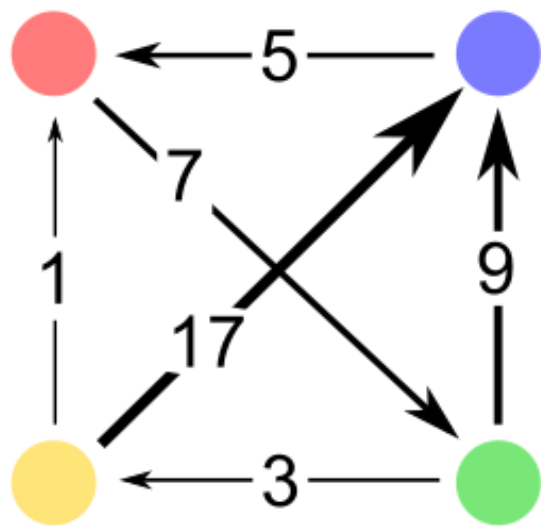
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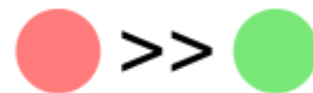
the strongest path from $\textcircled{\text{red}}$ to $\textcircled{\text{green}}$ has strength 7

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



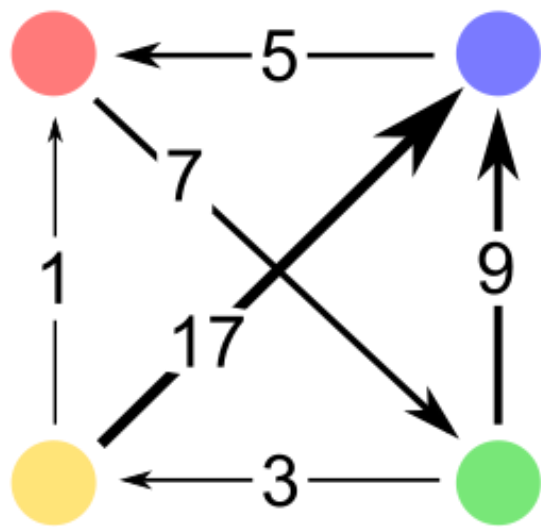
the strongest path from $\textcircled{\text{red}}$ to $\textcircled{\text{green}}$ has strength 7

the strongest path from $\textcircled{\text{green}}$ to $\textcircled{\text{red}}$ has strength 5

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

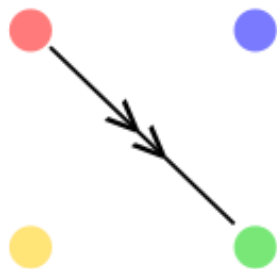


$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



the strongest path from red to green has strength 7

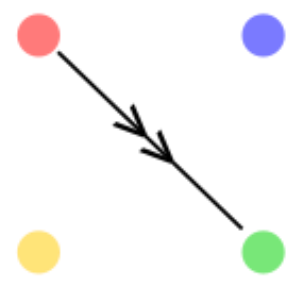
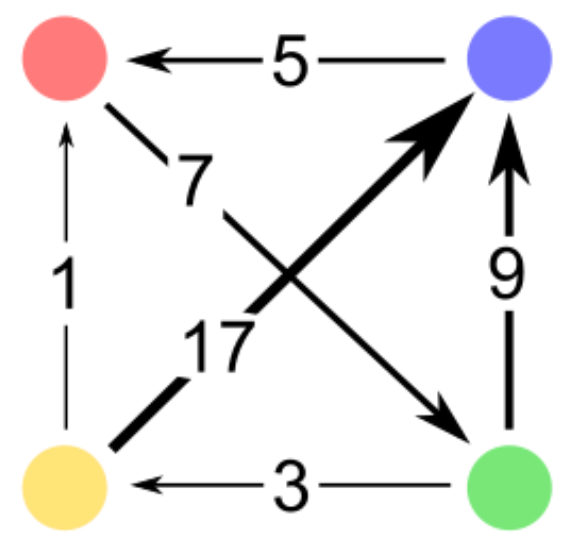
the strongest path from green to red has strength 5



6

Schulze

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

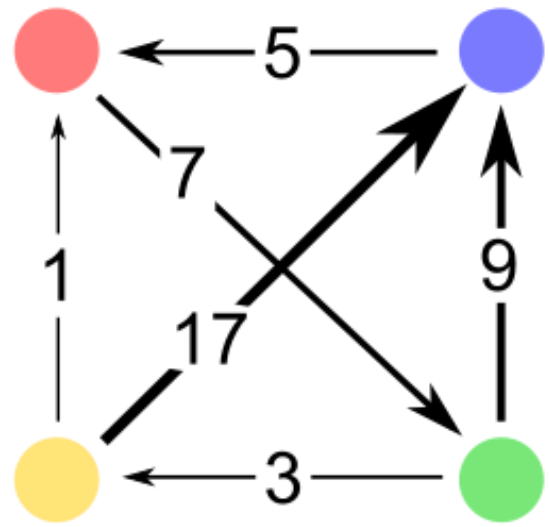


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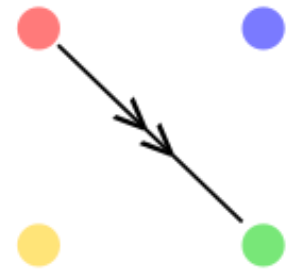
6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



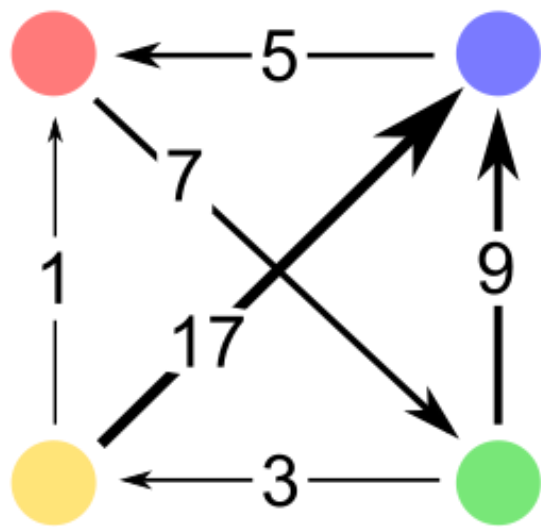
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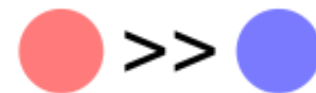
6

Schulze

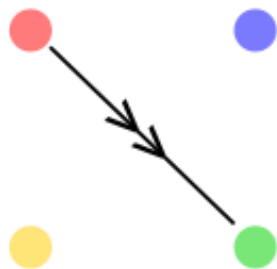
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



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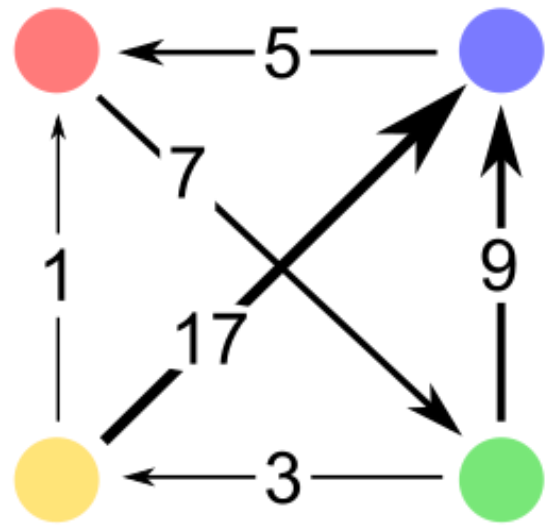
the strongest path from $\textcircled{\text{red}}$ to $\textcircled{\text{blue}}$ has strength 7



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

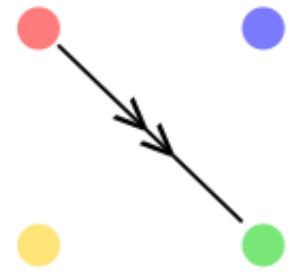


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the strongest path from red to blue has strength 7

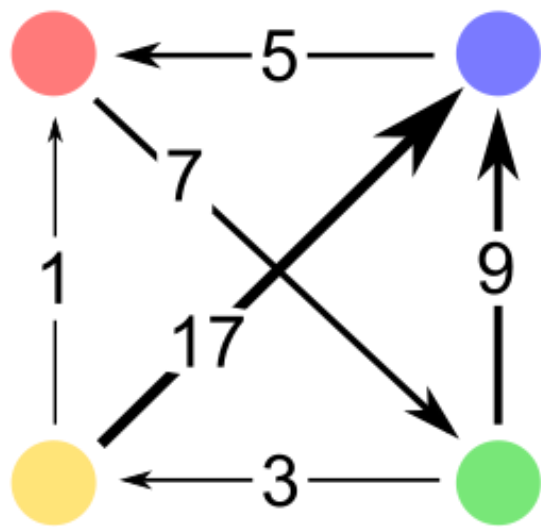
the strongest path from blue to red has strength 5



6

Schulze

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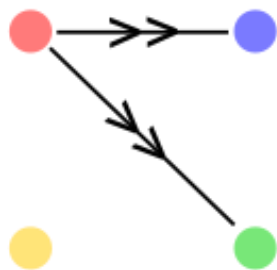


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the strongest path from $\textcircled{\text{red}}$ to $\textcircled{\text{blue}}$ has strength 7

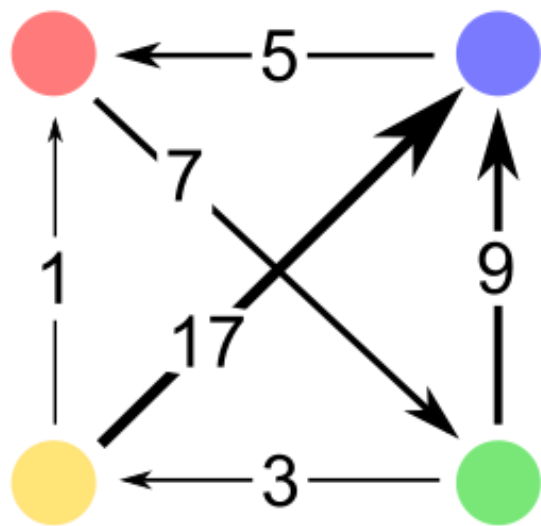
the strongest path from $\textcircled{\text{blue}}$ to $\textcircled{\text{red}}$ has strength 5



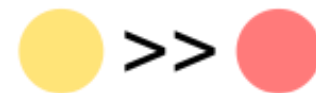
6

Schulze

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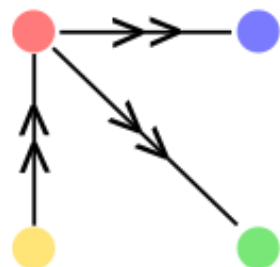


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the strongest path from yellow to red has strength 5

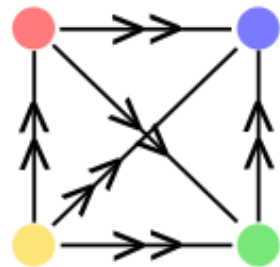
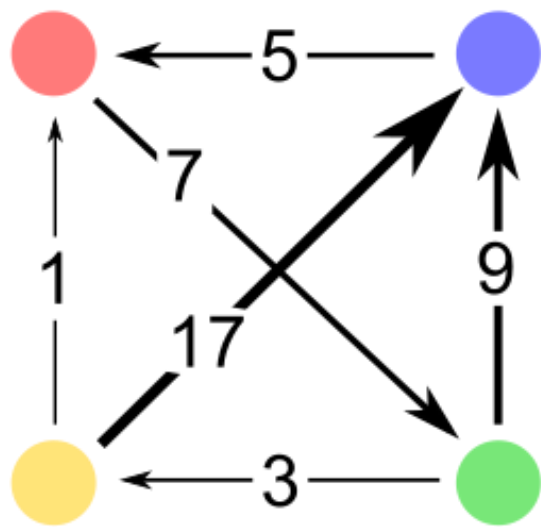
the strongest path from red to yellow has strength 3



6

Schulze

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



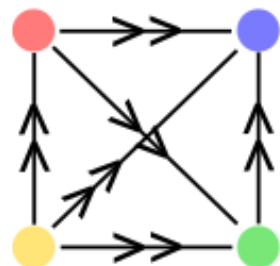
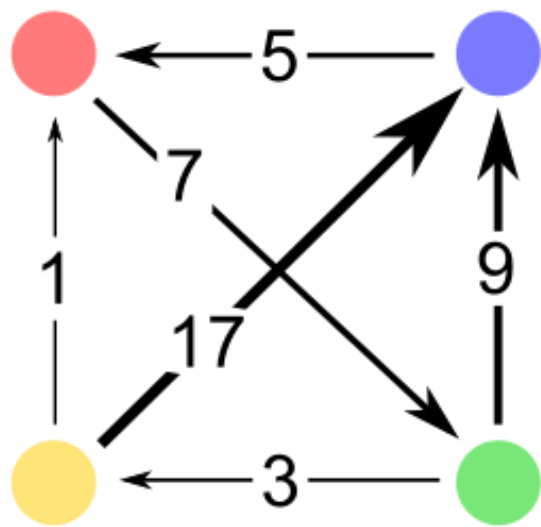
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$\textcircled{y} \gg$ all others

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



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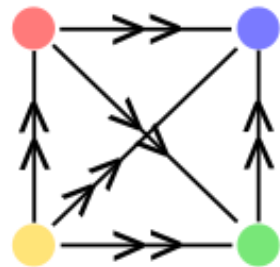
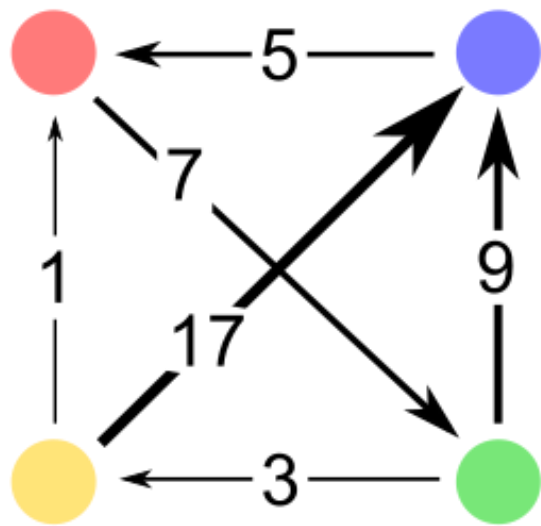
$\bullet \gg$ all others

Schulze winner: \bullet

6

Schulze

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A Schulze winner always exists!

The "chain beats" relation is transitive

$\textcircled{a} \gg \textcircled{b}$ and $\textcircled{b} \gg \textcircled{c}$, then $\textcircled{a} \gg \textcircled{c}$

6



Schulze

18

12

10

9

4

2

Plurality



Plurality
with runoff



Borda



Condorcet



(Copeland, Schulze)



STV



Logistics

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Next Time

Why truthful voting is impossible

References

- “Disagreement between voting rules” example:
<http://www.ams.org/publicoutreach/feature-column/fcarc-voting-decision>
- The “chain beats” terminology in the description of Schulze rule was borrowed from Hubert Bray’s explanatory video:
https://www.youtube.com/watch?v=_HVeN0GnnuA
- The Schulze rule example is from the paper “The Schulze Method of Voting” by Markus Schulze:
<https://arxiv.org/abs/1804.02973>
- (Possibly) strategic voting in the selection of Olympics host:
https://www3.nd.edu/~apilking/math10170/Information/Lectures%202015/Topic_2_Plurality_Runoff.pdf

